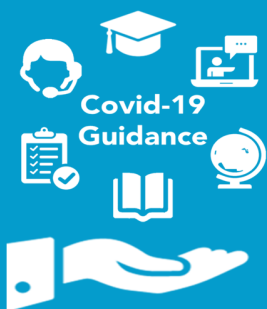


Assessment mitigation centre guidance for NVQs & VRQs in relation to Covid-19 disruption, Summer 2020

Health & Social Care

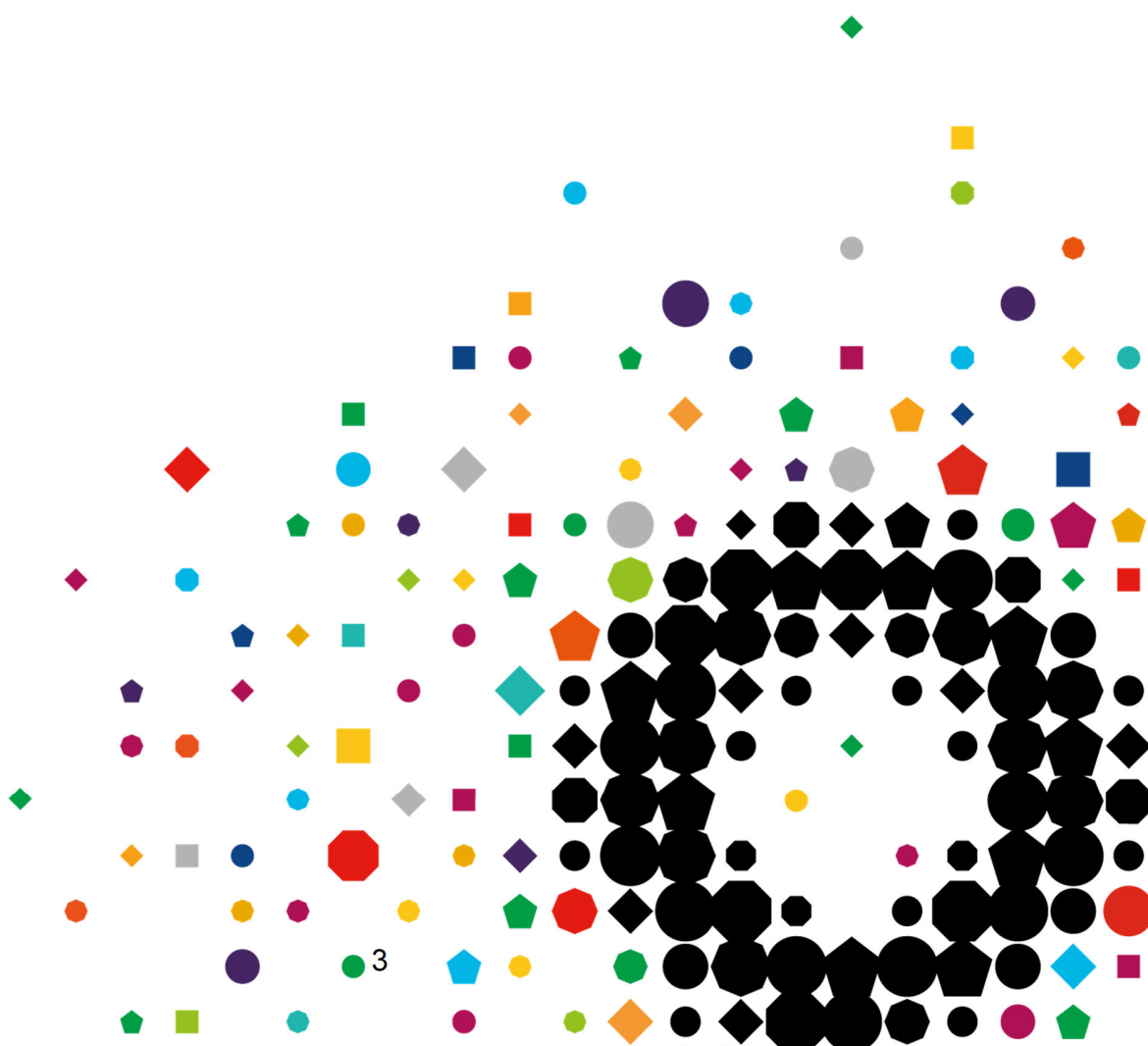




| Version | Amendment type | Section/Qualification |
|-----------------|--|---|
| 1.3 (June 2020) | Guidance on requirements of completion for the Health and Safety and Safeguarding units has been updated | Suites: 3080, 3095, 3576, 4222, 4223, 4345, 4978 |
| | Guidance on requirements of completion for the First Aid units has been updated | Suites: 4223, 5234 |
| | Guidance related to the 5234 Dental qualifications updated | Suite: 5234 |
| 1.4 (June 2020) | Amendments made to the learner scope requirements around evolve test for the 5234 Dental qualification | 5234 |
| | Template guidance sections amended (Sections 2, 4 & 5; Section 6 removed) | Sections 2, 4 & 5 Section 6 removed. |
| | Additional guidance added to evolve tests for Dental and Pharmacy qualifications | 5234 & 5356 |
| | Mitigation approach for qualifications in Suite 4333 changed from 'adaption' to 'calculated results' | Suite: 4333 |
| | Table formatting amended for Suite 3095 | Suite: 3095 |

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2. Scope of qualifications
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5. Qualifications where adaptation of assessments is permitted
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1. Introduction

This guidance applies to OFQUAL regulated qualifications delivered in England, Northern Ireland, Wales and Scotland.

Our overarching aim is to allow as many learners as possible that were due to complete/achieve their assessment/qualifications in summer 2020 to receive results, so they can progress onto further education and employment without delay.

The qualifications in this sector serve a number of purposes, such as progression to further study, signalling occupational competence, or are linked to occupational regulation, such as licence to practice and as such they vary in their assessment approaches and therefore their assessment and mitigation approaches.

This guidance covers the assessment and mitigation processes that will be applied to the qualifications in this sector. These include:

- Calculated results
- Adaptation
- Delay
- No mitigation required

We are committed to developing a process that, as far as possible, will:

1. be practical and manageable for centres
2. support the validity and reliability of learner results
3. maintain standards.



2. Scope of qualifications

For information on Exceptional Arrangements for Vocational and Technical qualifications see [here](#).

In line with the government's stated aim of ensuring parity between GQ and VTQ learners and to ensure VTQ learners can progress to HE/FE/employment, the following mitigation and assessment approaches apply, arrangements for all qualifications in this sector are detailed in this document.

Calculated results/centre-assessment grades

We have proposed calculated results/centre-assessment grades for qualifications where:

- their primary purpose is either progression to either further or higher education. Learners will receive a calculated result for assessments they were due to complete this academic year
- there is sufficient evidence available to form a valid judgement and where there are no health & safety or safeguarding issues.

Calculated results will draw appropriately on a range of trusted evidence and will be based primarily on tutor judgements of what result each learner would most likely have achieved had they had sat their assessments this summer.

These will then be subject to quality assurance by City & Guilds. City & Guilds will also quality assure the judgements across different centres once they have been submitted. Where there are banked assessment results already achieved for learners, these will be used.

Adaptation

We have proposed Adaptation for qualifications where:

- their primary purpose is a mix of either progression to further or higher education or signifying competence, or where the primary purpose is to signify competence.
- a calculated result/centre-assessment grade is not possible
- learners are completing programmes of study where the primary aim is linked directly to occupational or professional competence
- the validity of the assessment will remain protected
- it does not conflict with identified health and safety or safeguarding issues

Delay

For some qualifications, assessments will need to be delayed where neither estimation nor adaptation is possible.

This is very much based on the purpose and nature of the qualification.

Qualifications such as licence to practice qualifications or those qualifications considered 'high risk' will fall under this approach.

Please refer to Appendix D : List of qualifications where delay is the approach.



No mitigation required

For some qualifications it will be the case that no mitigation is required as assessments can continue to be delivered and assessed in the usual way according to the acceptable approaches within the assessment strategy/plan.

Please refer to Appendix C : List of qualifications where no mitigation is required.

For a small number of qualifications, a 'hybrid' approach may be proposed with a primary mitigation approach for most outstanding assessments and a secondary mitigation for others. Full details for where this approach exists within the specific qualifications is provided in this guidance document.

Where the primary mitigation approach for a qualification is adaptation, but calculated results/centre assessment grades are permitted for some of the assessments, this is indicated in the adaptation list with an *. Please note the different submission timescales for adaptation results and centre calculated grades.



3. Scope of Learners

These mitigation approaches are **ONLY** available to learners who meet the following criteria:

- Were incomplete/still registered as of 20th March 2020
- Were scheduled to complete their qualification/assessments by 31st July 2020
- Have completed a learning programme that addresses a significant proportion of the content of the qualification
- Have met any specific requirements at qualification level as set out later in this document if applicable.

For any learners where access arrangements have been agreed (for example a reader or extra time), this should be taken into consideration in the mitigation approach.

Centres should give consideration of where illness or other personal circumstances might have affected learner performance in assessments or assessment components that have already been undertaken.

Arrangements for learners out of scope

For learners out of scope please continue to offer remote and blended learning where feasible, supporting learners to prepare for their assessments once the government guidance allows them to return to their centres. The following actions should be taken by centres:

- Continue to support learners with their learning remotely in line with current and evolving social distancing requirements
- Tutors/assessors should continue to undertake formative assessment and to support remote learning

Formal summative assessment can be resumed when the centre re-opens.

International learners are not in scope for these mitigations.





4. Qualifications where calculated results are permitted

At City & Guilds we want to ensure that wherever possible learners who planned to take assessments this summer for progression to either further or higher education or into employment will be able to receive results.

In many circumstances where progression to either further or higher education is the primary purpose of the qualification, learners will receive a calculated result for assessments they were due to complete this academic year. Calculated results will draw appropriately on a range of trusted evidence and will be based primarily on tutor judgements of what result each learner would most likely have achieved had they had sat their assessments this summer.

These will then be subject to quality assurance by City & Guilds. City & Guilds will also quality assure the judgements across different centres once they have been submitted. Where there are banked assessment results already achieved for learners, these will be used.

The individual qualification pages that follow provide detail of the specific assessments and conditions where calculated results are permitted.

Please refer to Appendix A: List of qualifications where calculated results are permitted.

For more information on centre assessment grades, please refer to the following documents on the City & Guilds website:

City & Guilds Summer 2020 Grades for VRQs guidance document found [here](#)

Submitting Centre Assessment Grades to Walled Garden guidance document found [here](#)



5. Qualifications where adaptation of assessments is permitted

Please refer to Appendix B: List of qualifications where adaptation of assessments is permitted.

Types of adaptation that may be used

The individual qualification pages that follow provide detail of the specific adaptations that are permitted for individual assessments. The main types of adaptation that have been used across qualifications include:

Expert Witness Testimony

The use of additional expert witness testimonies from qualified individuals to act as evidence in place of other assessment activities (e.g. direct observation).

Professional Discussion

The use of a professional discussion element to provide evidence of coverage that may not be possible for learners to produce through typical assessment processes at this time (e.g. instead of a practical task).

Simulation

The use of simulation to assess activities that would typically have occurred in a certain (e.g. real work) environment.

Observation

In some instances where direct observation is not possible, the number of required observations for assessment have been reduced. In other instances, alternative methods of assessment may be accepted (e.g. Expert Witness Testimony).

Knowledge-based written assessments

The use of a range of adaptations have been suggested, depending on the qualification, but typically include:

- a) A relaxation of conditions, e.g. tasks that may have typically been done in supervised conditions in a centre that now may be taken in the learner's home.
- b) An adaptation to the mode of assessment e.g. use of oral questioning.

Reflective accounts/statements

The use of reflective accounts or statements that learners can provide to detail activities previously undertaken. The specific guidance will state where retrospective reflection is permitted.

For more information on the adaptation process, please refer to the **Quality Process Arrangements and Guidance for assessments that have been adapted** document on the City & Guilds website.

**Adaptations for:**

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|---|--|
| 601/6071/8 | 3051-02 | City & Guilds Level 2 Certificate in Clinical Skills |
| 601/6696/4 | 3051-03 | City & Guilds Level 3 Certificate in Clinical Skills |

Which learners do the mitigation arrangements apply to?

These assessment arrangements are ONLY available to learners who meet the full criteria stated on page 7 and any specific requirements stated below:

- Some assessor observations must have been completed.

What mitigation arrangements are permitted for outstanding assessments if learners meet the criteria?

| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
|---|-------------------------------------|---|-------------------|--------------|-------------------------------|
| Assessments for knowledge and/or understanding alone. Centre marked assignment/test with little or no practical. | Assignment 200 covers units 201-204 | | | | ✓ |
| Assessments for knowledge and/or understanding alone. | 205, 211-213 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 206-210, 214-221 | | ✓ | | |
| Assessments for knowledge and/or understanding alone. | 300 Assignment covering 301-303 | | | | ✓ |



| | | | | | |
|--|----------------------|--|---|--|---|
| Centre marked assignment/test with little or no practical. | | | | | |
| Assessments for knowledge and/or understanding alone. | 307 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 304-306, 308 -319 | | ✓ | | |

What adaptations to assessments are permitted?

Portfolio of evidence assessing competency

As part of the review of outstanding evidence, assessors should make best use of existing evidence to capitalise opportunities for holistic assessor judgement and robust, reliable cross-referencing of evidence, ensuring compliance with individual unit aims and contexts.

Where there has been assessor observation across the other competence based mandatory units, then the use of expert witness testimony is permitted. **In these circumstances** the expert witness testimony may describe an event which is retrospective as long as the event took place during the candidate's registration period.

ALL LEARNERS would need to have been observed by their assessor before they can be certificated. In all cases, the expectation is that **ALL Assessment Criteria** should be referenced to evidence which has been assessed and that performance evidence is used for competence assessment criteria. Where a small number of assessment criteria do not have performance evidence as expected – then alternative methods eg Q&A, Professional Discussion and reflective accounts may be used to fill the gaps.

For learners expecting to complete after 31 July 2020 then advice is to continue to work with their assessors to plan activities, which they can complete, and to prioritise the use of EWT where this is available.

**Adaptations for:**

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|------------------------------------|---|
| 603/3462/9 | 3080-50 | City & Guilds Level 5 Diploma in Leadership and Management for Adult Care (England) |

Which learners do the mitigation arrangements apply to?

These assessment arrangements are ONLY available to learners who meet the full criteria stated on page 7 and any specific requirements stated below:

- Some assessor observations must have been completed.
- Sufficient observed performance evidence needs to have been generated for the Health & Safety and Safeguarding competence units prior to the 20 March 2020 in order for the assessor to plan completion of the unit using retrospective expert witness testimony, professional discussion, questions and answer and /or reflective accounts.

What mitigation arrangements are permitted for outstanding assessments if learners meet the criteria?

| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
|--|--|--|------------|-------|------------------------|
| Assessments for knowledge and/or understanding alone. | 502, 507, 513, 517, 521, 527 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 501, 503-506, 508-512, 514-516 518-520 522-526 | | ✓ | | |

What adaptations to assessments are permitted?**Portfolio of evidence assessing competency**

As part of the review of outstanding evidence, assessors should make best use of existing evidence to capitalise opportunities for holistic assessor judgement and robust, reliable cross-referencing of evidence, ensuring compliance with individual unit aims and contexts.



Where there has been assessor observation across the other competence based mandatory units, then the use of expert witness testimony is permitted. **In these circumstances** the expert witness testimony may describe an event which is retrospective as long as the event took place during the candidate's registration period.

ALL LEARNERS would need to have been observed by their assessor before they can be certificated. In all cases, the expectation is that **ALL Assessment Criteria** should be referenced to evidence which has been assessed and that performance evidence is used for competence assessment criteria. Where a small number of assessment criteria do not have performance evidence as expected – then alternative methods eg Q&A, Professional Discussion and reflective accounts may be used to fill the gaps.

For learners expecting to complete after 31 July 2020 then advice is to continue to work with their assessors to plan activities, which they can complete, and to prioritise the use of EWT where this is available.

**Adaptations for:**

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|------------------------------------|---|
| 603/2660/8 | 3095-21/91 | City & Guilds Level 2 Diploma in Care |
| 603/2662/1 | 3095-31/92 | City & Guilds Level 3 Diploma in Adult Care |

Which learners do the mitigation arrangements apply to?

These assessment arrangements are **ONLY** available to learners who meet the full criteria stated on page 7 and any specific requirements stated below:

- Some assessor observations must have been completed.
- Sufficient observed performance evidence needs to have been generated for the Health & Safety competence unit prior to the 20 March 2020 in order for the assessor to plan completion of the unit using retrospective expert witness testimony, professional discussion, questions and answer and /or reflective accounts.

What mitigation arrangements are permitted for outstanding assessments if learners meet the criteria?

| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
|--|---|--|------------|-------|------------------------|
| Assessments for knowledge and/or understanding alone. | 201, 204, 205, 208, 221, 230, 243, 262-264, 267, 271, 274, 275, 277- 279, 282, 308, 309 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 202, 203, 206, 207, 209, 210, 211, 212, 219, 220, 223, 224, 228, 231, 232, 234-237, 240, 241, 244-247, 249-251, 253, 254, 260, 261, 265, 266, 268-270, 272, 273, 276, 281, 283, | | ✓ | | |



| | | | | | |
|--|---|--|---|--|---|
| | 284 286, 314, 317, 326 | | | | |
| Assessments for knowledge and/or understanding alone. | 201, 221, 267, 277, 305, 309, 312, 319, 353, 362-364, 367, 368, 371, 385 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 202, 213, 220, 228, 231, 232, 237, 240, 241, 244, 245-247, 249, 270, 273, 276, 301, 302, 303, 304, 306, 307, 310, 313-316, 318, 320-325, 327-352, 354-361, 365, 366, 372-381, 386, 387, 401-405 | | ✓ | | |

What adaptations to assessments are permitted?

Portfolio of evidence assessing competency

As part of the review of outstanding evidence, assessors should make best use of existing evidence to capitalise opportunities for holistic assessor judgement and robust, reliable cross-referencing of evidence, ensuring compliance with individual unit aims and contexts.

Where there has been assessor observation across the other competence based mandatory units, then the use of expert witness testimony is permitted. **In these circumstances** the expert witness testimony may describe an event which is retrospective as long as the event took place during the candidate's registration period.

ALL LEARNERS would need to have been observed by their assessor before they can be certificated. In all cases, the expectation is that **ALL Assessment Criteria** should be referenced to evidence which has been assessed and that performance evidence is used for competence assessment criteria. Where a small number of assessment criteria do not have performance evidence as expected – then alternative methods eg Q&A, Professional Discussion and reflective accounts may be used to fill the gaps.

For learners expecting to complete after 31 July 2020 then advice is to continue to work with their assessors to plan activities, which they can complete, and to prioritise the use of EWT where this is available.

**Adaptations for:**

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|------------------------------------|--|
| 600/6841/3 | 3571-04 | City & Guilds Level 3 Certificate In Working in End of Life Care |

Which learners do the mitigation arrangements apply to?

These assessment arrangements are ONLY available to learners who meet the full criteria stated on page 7.

What mitigation arrangements are permitted for outstanding assessments if learners meet the criteria?

| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
|--|--|--|------------|-------|------------------------|
| Assessments for knowledge and/or understanding alone. | 301, 303, 306, 307 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 202-205, 302, 304, 305, 308-312, 401, 402 | | ✓ | | |

What adaptations to assessments are permitted?**Portfolio of evidence assessing competency**

As part of the review of outstanding evidence, assessors should make best use of existing evidence to capitalise opportunities for holistic assessor judgement and robust, reliable cross-referencing of evidence, ensuring compliance with individual unit aims and contexts.

Where there has been assessor observation across the other competence based mandatory units, then the use of expert witness testimony is permitted. **In these circumstances** the expert witness testimony may describe an event which is retrospective as long as the event took place during the candidate's registration period.

ALL LEARNERS would need to have been observed by their assessor before they can be certificated. In all cases, the expectation is that **ALL Assessment Criteria** should be referenced to evidence which has been assessed and that performance evidence is used for competence



assessment criteria. Where a small number of assessment criteria do not have performance evidence as expected – then alternative methods eg Q&A, Professional Discussion and reflective accounts may be used to fill the gaps.

For learners expecting to complete after 31 July 2020 then advice is to continue to work with their assessors to plan activities, which they can complete, and to prioritise the use of EWT where this is available.

**Adaptations for:**

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|---|---|
| 600/8667/1 | 3576-05 | City & Guilds Level 5 Diploma For Assistant Practitioners in Healthcare |

Which learners do the mitigation arrangements apply to?

These assessment arrangements are ONLY available to learners who meet the full criteria stated on page 7 and any specific requirements stated below:

- Some assessor observations must have been completed.
- Sufficient observed performance evidence needs to have been generated for the Health & Safety and Safeguarding competence units prior to the 20 March 2020 in order for the assessor to plan completion of the unit using retrospective expert witness testimony, professional discussion, questions and answer and /or reflective accounts.

What mitigation arrangements are permitted for outstanding assessments if learners meet the criteria?

| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
|---|--|---|-------------------|--------------|-------------------------------|
| Assessments for knowledge and/or understanding alone. Centre marked assignment/test with little or no practical. | 301, 510, 511, 513 | | | | ✓ |
| Assessments for knowledge and/or understanding alone. Centre marked assignment/test with little or no practical. | 403 | | ✓ | | |
| Assessments for occupational competency Portfolio of evidence | 401, 402, 501, 502- 509, 512, 514, 601 | | ✓ | | |



What adaptations to assessments are permitted?

Unit 403

Knowledge based assignments that are internally marked can be delivered remotely provided the centre has software or a process that maintains the security of the assessment materials, generates evidence that can be retained by the centre and authenticates that the responses are the candidates own.

Where assessments have been completed after centres were closed, Centres must exercise caution where that evidence suggests a change in performance. In many cases this is likely to reflect the circumstances and context in which the work was completed.

If not, all elements can be successfully delivered remotely, centres can conduct additional professional discussion/Q&A to support generating any outstanding evidence. The assignment content can be used as a basis of this provided the security of the assessment materials is maintained.

- Where assignments have images or tables, these can either be shared discretely from the assignment, alternative equivalent images sourced, or questions/discussion can be rephrased around the image

Oral questioning/Q&A session must be carried out by an assessor who is occupationally competent and in a way that authenticates the responses are the candidates own.

These will need to be recorded in written or verbal format and linked to the assessment criteria.

Portfolio of evidence assessing competency

As part of the review of outstanding evidence, assessors should make best use of existing evidence to capitalise opportunities for holistic assessor judgement and robust, reliable cross-referencing of evidence, ensuring compliance with individual unit aims and contexts.

Where there has been assessor observation across the other competence based mandatory units, then the use of expert witness testimony is permitted. **In these circumstances** the expert witness testimony may describe an event which is retrospective as long as the event took place during the candidate's registration period.

ALL LEARNERS would need to have been observed by their assessor before they can be certificated. In all cases, the expectation is that **ALL Assessment Criteria** should be referenced to evidence which has been assessed and that performance evidence is used for competence assessment criteria. Where a small number of assessment criteria do not have performance evidence as expected – then alternative methods eg Q&A, Professional Discussion and reflective accounts may be used to fill the gaps.

For learners expecting to complete after 31 July 2020 then advice is to continue to work with their assessors to plan activities, which they can complete, and to prioritise the use of EWT where this is available.

**Adaptations for:**

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|---|--|
| 501/1306/9 | 4222-21 | City & Guilds Level 2 Diploma in Health and Social Care (Adults) for England |
| 501/1194/2 | 4222-31 | City & Guilds Level 3 Diploma in Health & Social Care (Adults) for England |
| 601/5641/7 | 4222-34 | City & Guilds Level 4 Diploma In Adult Care (England) |

Which learners do the mitigation arrangements apply to?

These assessment arrangements are ONLY available to learners who meet the full criteria stated on page 7 and any specific requirements stated below:

- Some assessor observations must have been completed.
- Sufficient observed performance evidence needs to have been generated for the Health & Safety and Safeguarding competence units prior to the 20 March 2020 in order for the assessor to plan completion of the unit using retrospective expert witness testimony, professional discussion, questions and answer and /or reflective accounts.

What mitigation arrangements are permitted for outstanding assessments if learners meet the criteria?

| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
|---|--|---|-------------------|--------------|-------------------------------|
| Assessments for knowledge and/or understanding alone. | 204, 205, 237, 238, 240, 241, 245 248, 249, 251, 253, 254 256, 258, 259, 264-266, 330, 368-370, 376, 380, 382, 601, 618, 619, 656-658, 660, 665, 668, 676- 678 | | | | ✓ |



| | | | | | |
|--|---|--|---|--|--|
| Assessments for occupational competency Portfolio of evidence | 201-203 , 206-207, 209-236, 239, 242-244 246, 247, 250, 252, 255, 257, 261-263, 308, 318-320, 324, 327-329, 331-333, 366, 367, 371, 372, 379, 381, 383, 601, 616, 632, 638, 659, 661-664 208 | | ✓ | | |
|--|---|--|---|--|--|

| City & Guilds Level 3 Diploma in Health and Social Care (Adults) for England (4222-31) | | | | | |
|--|--|--|------------|-------|--|
| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required – continue as usual |
| Assessments for knowledge and/or understanding alone. | 205, 245, 249, 264-266, 304, 330, 365, 368-370, 376, 378, 380, 382, 385, 387, 393, 394, 402, 618, 619, 656, 658, 660, 664, 665, 668, 669, 677-679 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 206, 212, 217, 220, 226, 229, 232, 236, 250, 301-303, 305, 307, 308, 309-329, 331-342, 366, 367, 371-374, 377, 379, 381, 383, 386, 388, 389, 395-399, 401, 403-406, 408, 409, 601, 604-617, 633, 635, 640, 642, 644, 648, 652, 653, 659, 661-663, 672, 673, 675, 680, 683, 684 | | ✓ | | |



| City & Guilds Level 4 Diploma In Adult Care (England) (4222-34) | | | | | |
|--|--|--|------------|-------|--|
| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required – continue as usual |
| Assessments for knowledge and/or understanding alone. | 368, 375, 378, 382, 387, 393, 402, 415, 419, 531, 650, 656, 669, 679, 681, 687-690, 698 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 143, 311, 316, 322, 401, 403-406, 408-414, 416, 417, 418, 432-437, 543, 546, 547, 556, 557, 559, 560, 566-569, 635, 637, 644, 648, 649, 651, 652, 661, 683, 705, 706 | | ✓ | | |

What adaptations to assessments are permitted?

Portfolio of evidence assessing competency

As part of the review of outstanding evidence, assessors should make best use of existing evidence to capitalise opportunities for holistic assessor judgement and robust, reliable cross-referencing of evidence, ensuring compliance with individual unit aims and contexts.

Where there has been assessor observation across the other competence based mandatory units, then the use of expert witness testimony is permitted. **In these circumstances** the expert witness testimony may describe an event which is retrospective as long as the event took place during the candidate's registration period.

ALL LEARNERS would need to have been observed by their assessor before they can be certificated. In all cases, the expectation is that **ALL Assessment Criteria** should be referenced to evidence which has been assessed and that performance evidence is used for competence assessment criteria. Where a small number of assessment criteria do not have performance evidence as expected – then alternative methods eg Q&A, Professional Discussion and reflective accounts may be used to fill the gaps.



For learners expecting to complete after 31 July 2020 then advice is to continue to work with their assessors to plan activities, which they can complete, and to prioritise the use of EWT where this is available.

**Adaptations for:**

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|------------------------------------|---|
| 501/2282/4 | 4223-01 | City & Guilds Level 2 Diploma in Clinical Healthcare Support |
| 501/2124/8 | 4223-02 | City & Guilds Level 2 Certificate in Healthcare Support Services |
| 501/2236/8 | 4223-11 | City & Guilds Level 3 Diploma in Clinical Healthcare Support |
| 501/1785/3 | 4223-14 | City & Guilds Level 3 Diploma in Allied Health Profession Support |
| 501/2253/8 | 4223-15 | City & Guilds Level 3 Diploma in Maternity and Paediatric Support |
| 501/2238/1 | 4223-16 | City & Guilds Level 3 Diploma in Perioperative Support |

Which learners do the mitigation arrangements apply to?

These assessment arrangements are **ONLY** available to learners who meet the full criteria stated on page 7 and any specific requirements stated below:

- Some assessor observations must have been completed.
- For units 268 First Aid Essentials and 450 Paediatric First Aid, the performance evidence for this unit needs to have been generated prior to 20 March - otherwise completion of the unit should be delayed
- Sufficient observed performance evidence needs to have been generated for the Health & Safety competence unit prior to the 20 March 2020 in order for the assessor to plan completion of the unit using retrospective expert witness testimony, professional discussion, questions and answer and /or reflective accounts.

What mitigation arrangements are permitted for outstanding assessments if learners meet the criteria?

| City & Guilds Level 2 Diploma in Clinical Healthcare (4223-01) | | | | | |
|--|------------------------------|----------------------------|------------|-------|------------------------|
| Outstanding assessments | Assessment component numbers | Calculated result based on | Adaptation | Delay | No mitigation required |



| | | centre estimation | | | |
|--|--|-------------------|---|---|---|
| Assessments for knowledge and/or understanding alone. | 003, 007, 008, 010, 012, 014, 015, 016, 031, 314, 315 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 001, 002, 004-005, 006 009, 011, 013, 017-029 032-053, 339 386, 452 | | ✓ | | |
| | 268 | | | ✓ | |

| City & Guilds Level 2 Certificate in Healthcare Support Services (4223-02) | | | | | |
|---|--|--|------------|-------|------------------------|
| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
| Assessments for knowledge and/or understanding alone. Centre marked assignment/test with little or no practical. | 007-008, 010, 014, 015, 031, | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 001-002, 004, 005, 006, 048, 050-103, 320 268 | | ✓ | | |
| | | | | ✓ | |

| City & Guilds Level 3 Diploma in Clinical Healthcare Support (4223-11) | | | | | |
|--|------------------------------|----------------------------|------------|-------|------------------------|
| Outstanding assessments | Assessment component numbers | Calculated result based on | Adaptation | Delay | No mitigation required |



| | | centre estimation | | | |
|---|--|-------------------|---|---|---|
| Assessments for knowledge and/or understanding alone. Centre marked assignment/test with little or no practical. | 007-008, 010, 031, 307-309, 314-316 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 005, 026-029, 039, 044, 050, 051, 301-303, 304 305, 306, 310-313, 317-396, 398 | | ✓ | | |
| | 268 | | | ✓ | |

| City & Guilds Level 3 Diploma in Allied Health Profession Support (4223-14) | | | | | |
|---|--|--|------------|-------|------------------------|
| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
| Assessments for knowledge and/or understanding alone. Centre marked assignment/test with little or no practical. | 007-008, 010, 031, 307-309, 314-316 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 005, 026-029, 044, 050, 051, 301-303, 304, 305, 306, 310-313, 320, 328-331, 343, 345-349 351-359, 362-366, 369-373, 378- | | ✓ | | |



| | | | | | |
|--|---|--|--|---|--|
| | 384, 387-390, 393-396, 398, 414-434 | | | | |
| | 268 | | | ✓ | |

| City & Guilds Level 3 Diploma in Maternity and Paediatric Support (4223-15) | | | | | |
|---|---|--|------------|-------|------------------------|
| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
| Assessments for knowledge and/or understanding alone. Centre marked assignment/test with little or no practical. | 005, 007, 008, 031,307-309, 314-316, 435, 449 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 026-029, 039, 041, 044, 050, 051, 268, 301- 303, 304, 305, 306, 310-313, 317, 318, 320, 321, 324, 325, 331, 339, 340, 347, 353, 354, 357-359, 362- 366, 373, 379, 384, 385, 387, 389, 390, 393- 396, 398, 436- 440, 442-448, 450-451 | | ✓ | | |
| | 268, 450 | | | ✓ | |

| City & Guilds Level 3 Diploma in Perioperative Support (4223-16) | | | | | |
|--|--|--|------------|-------|------------------------|
| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
| Assessments for knowledge and/or understanding alone. | 007, 008, 010, 031, 307-309 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 005, 301-303, 304, 305, 306, 452, 459, 460-467 | | ✓ | | |

What adaptations to assessments are permitted?

Portfolio of evidence assessing competency

As part of the review of outstanding evidence, assessors should make best use of existing evidence to capitalise opportunities for holistic assessor judgement and robust, reliable cross-referencing of evidence, ensuring compliance with individual unit aims and contexts.

Where there has been assessor observation across the other competence based mandatory units, then the use of expert witness testimony is permitted. **In these circumstances** the expert witness testimony may describe an event which is retrospective as long as the event took place during the candidate's registration period.

ALL LEARNERS would need to have been observed by their assessor before they can be certificated. In all cases, the expectation is that **ALL Assessment Criteria** should be referenced to evidence which has been assessed and that performance evidence is used for competence assessment criteria. Where a small number of assessment criteria do not have performance evidence as expected – then alternative methods eg Q&A, Professional Discussion and reflective accounts may be used to fill the gaps.

For learners expecting to complete after 31 July 2020 then advice is to continue to work with their assessors to plan activities, which they can complete, and to prioritise the use of EWT where this is available.



**Adaptations for:**

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|------------------------------------|--|
| 600/1812/4 | 4239-02 | City & Guilds Level 2 Award In Employment and Personal Learning Skills in Health |
| 600/1854/9 | 4239-03 | City & Guilds Level 3 Award In Employment and Personal Learning Skills in Health |

Which learners do the mitigation arrangements apply to?

These assessment arrangements are ONLY available to learners who meet the full criteria stated on page 7.

What mitigation arrangements are permitted for outstanding assessments if learners meet the criteria?

| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
|---|------------------------------|--|------------|-------|------------------------|
| Assessments for knowledge and/or understanding alone. Centre marked assignment/test with little or no practical. | 200 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 201-204 301-304 | | ✓ | | |

What adaptations to assessments are permitted?**Portfolio of evidence assessing competency**

As part of the review of outstanding evidence, assessors should make best use of existing evidence to capitalise opportunities for holistic assessor judgement and robust, reliable cross-referencing of evidence, ensuring compliance with individual unit aims and contexts.

Where there has been assessor observation across the other competence based mandatory units, then the use of expert witness testimony is permitted, including testimony from employers. **In these**



circumstances the expert witness testimony may describe an event which is retrospective as long as the event took place during the candidate's registration period.

ALL LEARNERS would need to have been observed by their assessor before they can be certificated. In all cases, the expectation is that **ALL Assessment Criteria** should be referenced to evidence which has been assessed and that performance evidence is used for competence assessment criteria. Where a small number of assessment criteria do not have performance evidence as expected – then alternative methods eg Q&A, Professional Discussion and reflective accounts may be used to fill the gaps.

For learners expecting to complete after 31 July 2020 then advice is to continue to work with their assessors to plan activities, which they can complete, and to prioritise the use of EWT where this is available.

**Mitigations for:**

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|------------------------------------|---|
| 600/1299/7 | 4333-10 | City & Guilds Level 1 Award In Preparing to Work in Adult Social Care |
| 600/1298/5 | 4333-11 | City & Guilds Level 1 Award In Introduction to Health, Social Care and Children's and Young People's Settings |
| 600/1297/3 | 4333-13 | City & Guilds Level 1 Certificate In Introduction to Health, Social Care and Children's and Young People's Settings |
| 600/8539/3 | 4333-15 | City & Guilds Level 1 Diploma In Introduction to Health, Social Care and Children's and Young People's Settings |

Which learners do the mitigation arrangements apply to?

These assessment arrangements are ONLY available to learners who meet the full criteria stated on page 7.

What mitigation arrangements are permitted for outstanding assessments if learners meet the criteria?

| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
|---|------------------------------|--|------------|-------|------------------------|
| Assessments for knowledge and/or understanding alone. Centre marked assignment/test with little or no practical. | 101-131, 136 201-205 | ✓ | | | |

Where calculated results based on centre assessment grades are permitted, what evidence should this be based on?



- Any formative or practice exam/assessment results
- Participation and performance in skills-based activities
- Candidates percentage of attendance prior to centre closures
- Overall candidate performance and progress
- Classwork/homework
- Internal tutor assessment and progress data
- Any other records of candidate performance over the course of study
- Comparison with previous cohorts.

Centre assessment grades (estimations) for learners must be submitted by 26 June 2020. This deadline does not apply to grades where an Adaptation is being utilised.

**Adaptations for:**

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|------------------------------------|---|
| 603/2544/6 | 4345-31 | City & Guilds Level 3 Diploma in Healthcare Support |

Which learners do the mitigation arrangements apply to?

These assessment arrangements are **ONLY** available to learners who meet the full criteria stated on page 7 and any specific requirements stated below:

- Some assessor observations must have been completed.
- Sufficient observed performance evidence needs to have been generated for the Health & Safety competence unit prior to the 20 March 2020 in order for the assessor to plan completion of the unit using retrospective expert witness testimony, professional discussion, questions and answer and /or reflective accounts.

What mitigation arrangements are permitted for outstanding assessments if learners meet the criteria?

| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
|---|---|--|------------|-------|------------------------|
| Assessments for knowledge and/or understanding alone. Centre marked assignment/test with little or no practical. | 300 | | | | ✓ |
| Assessments for knowledge and/or understanding alone. | 201, 204, 208, 221, 282, 305, 308, 309, 324, 327, 328, 345, 354, 371, 375-377, 380, 385, 387, 397, 407, 735 | | | | ✓ |
| Assessments for occupational competency | 201, 202, 212-214, 216-220, | | ✓ | | |



| | | | | | |
|-----------------------|---|--|--|--|--|
| Portfolio of evidence | 222-252, 254, 301, 302, 303, 304, 306, 307, 310-323, 325, 326, 329-344, 346-353, 355-370, 372-374, 378, 379, 381-384, 386, 388-396, 398, 399, 401-406 408-411 701-734 | | | | |
|-----------------------|---|--|--|--|--|

What adaptations to assessments are permitted?

Portfolio of evidence assessing competency

As part of the review of outstanding evidence, assessors should make best use of existing evidence to capitalise opportunities for holistic assessor judgement and robust, reliable cross-referencing of evidence, ensuring compliance with individual unit aims and contexts.

Where there has been assessor observation across the other competence based mandatory units, then the use of expert witness testimony is permitted. **In these circumstances** the expert witness testimony may describe an event which is retrospective as long as the event took place during the candidate's registration period.

ALL LEARNERS would need to have been observed by their assessor before they can be certificated. In all cases, the expectation is that **ALL Assessment Criteria** should be referenced to evidence which has been assessed and that performance evidence is used for competence assessment criteria. Where a small number of assessment criteria do not have performance evidence as expected – then alternative methods eg Q&A, Professional Discussion and reflective accounts may be used to fill the gaps.

For learners expecting to complete after 31 July 2020 then advice is to continue to work with their assessors to plan activities, which they can complete, and to prioritise the use of EWT where this is available.

**Adaptations for:**

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|------------------------------------|--|
| 601/4347/2 | 4978-52 | City & Guilds Level 5 Diploma in Leadership for Health and Social Care and Children's and Young People's Services (Children and Young Peoples' Management) (England) |
| 601/4347/2 | 4978-54 | City & Guilds Level 5 Diploma in Leadership for Health and Social Care and Children and Young People's Services (Adults' Residential Management) (England) |
| 601/4347/2 | 4978-55 | Level 5 Diploma in Leadership for Health and Social Care and Children and Young People's Services (Adults' Management) (England) |
| 601/4347/2 | 4978-56 | Level 5 Diploma in Leadership for Health and Social Care and Children and Young People's Services (Adults' Advanced Practice) (England) |

Which learners do the mitigation arrangements apply to?

These assessment arrangements are **ONLY** available to learners who meet the full criteria stated on page 7 and any specific requirements stated below:

- Some assessor observations must have been completed.
- Sufficient observed performance evidence needs to have been generated for the Health & Safety and Safeguarding competence units prior to the 20 March 2020 in order for the assessor to plan completion of the unit using retrospective expert witness testimony, professional discussion, questions and answer and /or reflective accounts.





What mitigation arrangements are permitted for outstanding assessments if learners meet the criteria?

| City & Guilds Level 5 City & Guilds Level 5 Diploma in Leadership for Health and Social Care and Children's and Young People's Services (children and Young People's Management) (England) (4978-52) | | | | | |
|---|--|--|------------|-------|------------------------|
| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
| Assessments for knowledge and/or understanding alone. | 506, 531-537, 562, 650, 656, 660, 665 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 501-503, 504, 505, 507, 508, 510-512, 519-527, 528/728, 529/729 530, 538-561, 563-570, 636, 637, 640-642, 645- 649, 651, 652, 654, 655, 661, 663, 666, 664, 667, 681 | | ✓ | | |



What mitigation arrangements are permitted for outstanding assessments if learners meet the criteria?

| City & Guilds Level 5 City & Guilds Level 5 Diploma in Leadership for Health and Social Care and Children's and Young People's Services (Adults' Residential Management) (England) (4978-54) | | | | | |
|--|--|--|------------|-------|------------------------|
| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
| Assessments for knowledge and/or understanding alone. | 516,530, 531-537, 562, 660,650, 664, 665 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 501-503, 504, 505, 510, 511, 513, 514, 515, 517-519,528/728, 529/729, 538-561, 563-570, 636, 637, 640-642, 645-649, 651, 652, 654-656, 661, 663, 666, 667, 681 | | ✓ | | |

| City & Guilds Level 5 City & Guilds Level 5 Diploma in Leadership for Health and Social Care and Children's and Young People's Services (Adults' Management) (England) (4978-55) | | | | | |
|--|--|--|------------|-------|------------------------|
| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
| Assessments for knowledge and/or understanding alone. | 516, 530-537, 650, 656, 660, 664, 665 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 501-503, 504, 505, 510, 511, 513, 514, 517-527 528/728 529/729 538-570, 636, 637, 640-642 645-649, 651, 652, 654, 655, 661, 663, 666, 667, 681 | | ✓ | | |



| City & Guilds Level 5 City & Guilds Level 5 Diploma in Leadership for Health and Social Care and Children's and Young People's Services (Adults' Advanced Practice) (England) (4978-56) | | | | | |
|---|--|--|------------|-------|------------------------|
| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
| Assessments for knowledge and/or understanding alone. | 516, 530-537, 562, 650, 656, 660, 664, 665 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 501-503, 504, 505, 513, 514, 517-527, 528/728 529/729 538-561 563-570, 636, 637, 640-642, 645-649, 651, 652, 654, 655, 661, 663, 666, 667, 681 | | ✓ | | |

**Adaptations for:**

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|------------------------------------|--|
| 501/1206/5 | 3565-22 | City & Guilds Level 2 Certificate in Dementia Care |
| 501/1654/X | 3565-32 | City & Guilds Level 3 Certificate in Dementia Care |

Which learners do the mitigation arrangements apply to?

These assessment arrangements are **ONLY** available to learners who meet the full criteria stated on page 7 and any specific requirements stated below:

- Some assessor observations must have been completed.

What adaptations to assessments are permitted?

| City & Guilds Level 2 Certificate in Dementia Care (3565-22) | | | | | |
|--|---------------------------------------|--|------------|-------|------------------------|
| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
| Assessments for knowledge and/or understanding alone. | 001-004, 014, 102, 120, 121 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 005-013, 015, 108, 109, 113, 116, 422 | | ✓ | | |



| City & Guilds Level 3 Certificate in Dementia Care (3565-32) | | | | | |
|--|------------------------------|--|------------|-------|------------------------|
| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
| Assessments for knowledge and/or understanding alone. | 101-104, 119-121 | | | | ✓ |
| Assessments for occupational competency Portfolio of evidence | 009, 010, 105-118, 422 | | ✓ | | |

What adaptations to assessments are permitted?

Portfolio of evidence assessing competency

As part of the review of outstanding evidence, assessors should make best use of existing evidence to capitalise opportunities for holistic assessor judgement and robust, reliable cross-referencing of evidence, ensuring compliance with individual unit aims and contexts.

Where there has been assessor observation across the other competence based mandatory units, then the use of expert witness testimony is permitted. **In these circumstances** the expert witness testimony may describe an event which is retrospective as long as the event took place during the candidate's registration period.

ALL LEARNERS would need to have been observed by their assessor before they can be certificated. In all cases, the expectation is that **ALL Assessment Criteria** should be referenced to evidence which has been assessed and that performance evidence is used for competence assessment criteria. Where a small number of assessment criteria do not have performance evidence as expected – then alternative methods eg Q&A, Professional Discussion and reflective accounts may be used to fill the gaps.

For learners expecting to complete after 31 July 2020 then advice is to continue to work with their assessors to plan activities, which they can complete, and to prioritise the use of EWT where this is available.

**Adaptations for:**

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|------------------------------------|---|
| 601/2434/9 | 5234-01 | City & Guilds Level 3 Diploma in Dental Nursing |

Which learners do the mitigation arrangements apply to?

These assessment arrangements are **ONLY** available to learners who meet the full criteria stated on page 7 and any specific requirements stated below:

- For unit 268 First Aid Essentials, the performance evidence for this unit needs to have been generated prior to 20 March - otherwise completion of the unit should be delayed
- Have achieved the 4 evolve tests 305, 313, 314 & 315 either before 20th March or via adaptations outlined below
- Have evidence of practice observed by their assessor for 301 Reducing the risk to health and safety in a dental setting.
- Have evidence of carrying out a range of dental nursing duties in their place of work on a minimum of 2 different occasions, which have been observed by their assessor.

What mitigation arrangements are permitted for outstanding assessments if learners meet the criteria?

| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
|---|------------------------------|--|------------|-------|------------------------|
| Assessments for knowledge and understanding alone. Externally marked Evolve test | 305, 313-315 | | ✓ | | |
| Assessments for occupational competency Portfolio of evidence | 268, 301, 302, 304, 306-311 | | ✓ | | |
| Assessments for occupational competency Portfolio of evidence | 312 | | | | ✓ |



What adaptations to assessments are permitted?

On demand evolve tests

On demand e-evolve tests can be delivered in the learner's home with a remote invigilator **only** for the assessments indicated in the table above, Units 305, 313-315.

- Guidance on how to set up, deliver and invigilate tests remotely can be found on our website.
- Specific online screen sharing software or application only are permitted for remote invigilation (see guidance document for details). The system must allow the invigilator to be able to view the candidate, their environment and their computer/laptop screen at all times during the test.
- The test must be completed under exam conditions.
- Remotely invigilated e-evolve tests will be delivered through a web-delivery application requiring no installation to the candidate computer.
- This is an interim adaptation for those assessments and learners that are in scope

Or

Where learners are able to continue to take the evolve test safely in their normal assessment environment, the tests are still available to sit.

Portfolio of evidence assessing competency

Units 301, 302, 304, 306-308

Where assessor observation has been used to cover **most** of the unit evidence requirements in the unit, the use of Expert Witness Testimony is permitted to cover any outstanding evidence requirements that have not been observed by the assessor. The Expert Witness must meet the requirements in the qualification handbook and have current GDC registration. Expert Witnesses may provide evidence which cover activities retrospectively as long as the practice is current and describes activities which occurred within the learner's qualification registration period.

Simulation is already allowed in Unit 312.

Assessment criteria which are knowledge based can be assessed using the usual permitted methods such as professional discussion, questions and answer, reflective accounts.

Units 309, 310 and 311

In recognition that in many work settings, the clinical activities covered in the units 309, 310 and 311 may occur infrequently and therefore have provided limited opportunities for assessor observation to have taken place prior to 20 March, the performance evidence in these units may be generated through Expert Witness Testimony (EWT). The EWT may describe an activity, which has already occurred as long as it is within the learner's registration period. It must describe the learner's contribution to the activity. The Expert Witness must meet all of the requirements detailed in the qualification handbook. The assessor must authenticate and assess the EWT and plan to complete the unit through further assessment methods such as Professional Discussion, Questions and Answers and reflective statements.

Prior to certification all learners MUST have evidence assessed against ALL of the Assessment Criteria within the qualification, which ensures that the GDC learning outcomes have been met



**Adaptations for:**

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|------------------------------------|--|
| 500/9234/0 | 5355-02 | City & Guilds Level 2 NVQ Certificate in Pharmacy Service Skills |
| 500/9576/6 | 5355-03 | City & Guilds Level 3 NVQ Diploma in Pharmacy Service Skills |

Which learners do the mitigation arrangements apply to?

These assessment arrangements are ONLY available to learners who meet the full criteria stated on page 7.

What mitigation arrangements are permitted for outstanding assessments if learners meet the criteria?

| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
|--|---|--|------------|-------|------------------------|
| Assessments for occupational competency Portfolio of evidence | 201-204, 206, 207, 210-214, 216, 301,302, 304 – 314, 315, 317 - 319, 321 - 326 | | ✓ | | |

What adaptations to assessments are permitted?**Portfolio of evidence assessing competency**

- All additional What you must do (WTMD) and What you must cover (WYMC) criteria not observed must still be met but can use alternative sources of evidence including Expert Witness Testimony and Professional Discussion.

Expert Witness Testimony

Expert Witness testimony could be used to contribute to the assessment of the learner by providing a statement against the criteria explaining what the learner has achieved in the work place. The approved Expert Witness will usually be the learner's supervisor or manager in the workplace but could also be an experienced colleague or other approved assessor. The statement produced by the



expert witness must be referenced to the criteria. The Expert Witness must have current registration with the General Pharmaceutical Council.

The Expert Witness testimony may describe activities which are retrospective but **MUST** have occurred during the learners qualification registration period. The learner's assessor will then assess the Expert Witness testimony and record their judgements.



Adaptations for:

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|------------------------------------|---|
| 500/9575/4 | 5356-02 | City & Guilds Level 2 Certificate in Pharmaceutical Science |
| 500/9959/0 | 5356-03 | City & Guilds Level 3 Diploma in Pharmaceutical Science |

Which learners do the mitigation arrangements apply to?

These assessment arrangements are **ONLY** available to learners who meet the full criteria stated on page 7.

What mitigation arrangements are permitted for outstanding assessments if learners meet the criteria?

| City & Guilds Level 2 Certificate in Pharmaceutical Science (5356-02) | | | | | |
|--|------------------------------|--|------------|-------|------------------------|
| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
| Assessments for knowledge and understanding alone. Externally marked Evolve test | 251-260 | | ✓ | | |

What adaptations to assessments are permitted?

The agreed assessment strategy for this qualification is externally set and marked multiple choice question papers for each unit. These operate through the Evolve system.

On demand evolve tests

On demand e-evolve tests can be delivered in the learner's home or workplace with a remote invigilator **only** for the assessments indicated in the table above.

- Guidance on how to set up, deliver and invigilate tests remotely can be found on our website.



- Specific online screen sharing software or application only are permitted for remote invigilation (see guidance document for details). The system must allow the invigilator to be able to view the candidate, their environment and their computer/laptop screen at all times during the test.
- The test must be completed under exam conditions.
- Remotely invigilated e-volve tests will be delivered through a web-delivery application requiring no installation to the candidate computer.
- This is an interim adaptation for those assessments and learners that are in scope

Or

Where learners are able to continue to take the evolve test safely in their normal assessment environment, the tests are still available to sit.

Where use of remote invigilation is not possible and this qualification is being taken alongside the equivalent competency qualification, criteria for this knowledge may be met from evidence produced for the competency qualification portfolio of evidence. Evidence should be mapped to the relevant criteria that is usually covered by the test.

Where there are gaps knowledge and understanding that cannot be mapped from the competency qualification, other assessment methods such as oral Q&A, professional discussion or reflective statements can be used to generate evidence against the remaining criteria.

Oral questioning/professional discussion must be carried out by an assessor who is occupationally competent and in a way that authenticates the responses are the learners own. It is expected that an assessment plan, judgements and feedback will be recorded by the assessor.

The evidence generated will need to be recorded in written or verbal format and linked to the relevant assessment criteria.

The table below outlines suggested units where evidence is most likely to be found.

| 5356-02 Unit | Suggested 5355-02 units | Further assessment methods to be used for authentication and valid assessment. |
|--------------|-------------------------|--|
| 251 | 201 | Professional Discussion Questions and Answers Reflective Statements |
| 252 | 201, 202 | Professional Discussion Questions and Answers Reflective Statements |
| 253 | 203 | Professional Discussion Questions and Answers Reflective Statements |
| | | Professional Discussion |



| | | |
|------------|-------------------------|---|
| 254 255 | 204, 207, 210, 311, 312 | Questions and Answers Reflective Statements |
| 256 | 212 | Professional Discussion Questions and Answers Reflective Statements |
| 257 | 211 | Professional Discussion Questions and Answers Reflective Statements |
| 258 | 204 | Professional Discussion Questions and Answers Reflective Statements |
| 259 | 206, 305 | Professional Discussion Questions and Answers Reflective Statements |
| 260 | 206, 314 | Professional Discussion Questions and Answers Reflective Statements |

| City & Guilds Level 3 Diploma in Pharmaceutical Science (5356-03) | | | | | |
|---|------------------------------|--|------------|-------|------------------------|
| Outstanding assessments | Assessment component numbers | Calculated result based on centre estimation | Adaptation | Delay | No mitigation required |
| Assessments for knowledge and/or understanding alone. Centre marked assignment/test administered under controlled conditions | 301-304 | | ✓ | | |
| Assessments for knowledge and/or understanding alone. Centre marked assignment/test | 305-319 | | ✓ | | |



| | | | | | |
|--|--|--|--|--|--|
| administered under controlled conditions | | | | | |
|--|--|--|--|--|--|

What adaptations to assessments are permitted?

The agreed assessment strategy for this qualification is externally set internal marked assessments for each unit. These are required to be delivered under controlled conditions.

This can continue in the scenario below only for eligible learners:

- Although it is expected that the learner will sit their controlled assessments in their usual learning environment, they may be taken within the workplace providing that they are supervised by a designated individual. This individual, must have been trained and must understand and implements security arrangements regarding the storage, issue and return of all the assessment materials, once the controlled assessments have been completed by the learner.

Where these are not possible, the following may be applied to units **except for 301-304**, which must be delayed until it is possible to administer the controlled assignments.

Centres can where appropriate use the assignment content for the basis of a professional discussion/Q&A provided the security of the assessment materials is maintained.

- Where assignments have images or tables, these can either be shared discretely from the assignment, alternative equivalent images sourced, or questions/discussion can be rephrased around the image

Professional discussion/Q&A session must be carried out by an assessor who is occupationally competent and in a way that authenticates the responses are the candidates own.

These will need to be recorded in written or verbal format and linked to the assessment criteria.

6. Further advice and guidance

For all queries relating to this guidance and arrangements, contact the Customer Support Team at City & Guilds. Who are available Monday to Friday 8am to 6pm excluding UK public holidays.



centresupport@cityandguilds.com

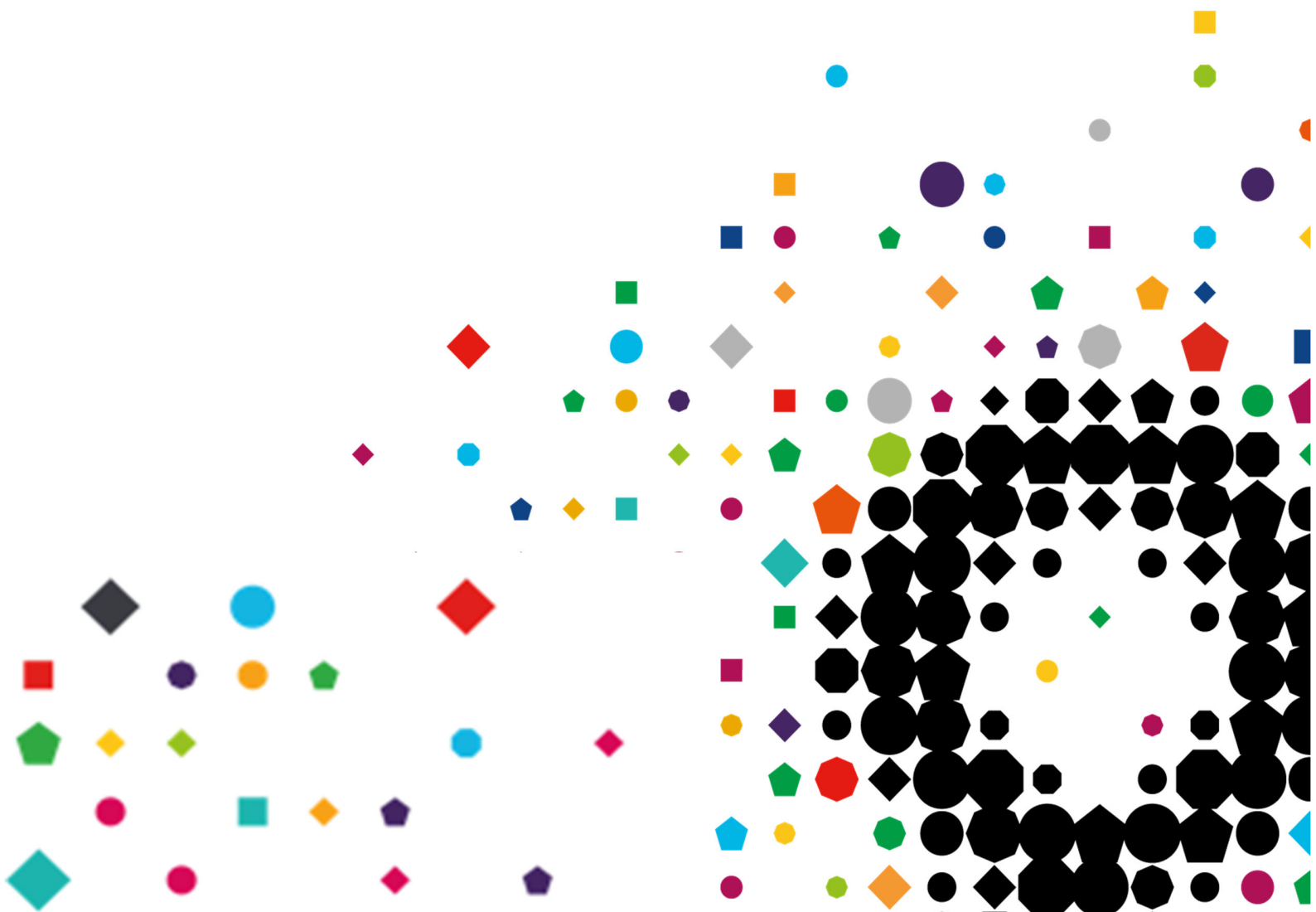
or

general.enquiries@cityandguilds.com



0844 543 0000

Related documents, Ofqual guidance and updates can be found on our website here
<https://www.cityandguilds.com/covid-19> ◆





Appendix A

List of qualifications where calculated results are permitted

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|------------------------------------|---|
| 600/1299/7 | 4333-10 | City & Guilds Level 1 Award In Preparing to Work in Adult Social Care |
| 600/1298/5 | 4333-11 | City & Guilds Level 1 Award In Introduction to Health, Social Care and Children's and Young People's Settings |
| 600/1297/3 | 4333-13 | City & Guilds Level 1 Certificate In Introduction to Health, Social Care and Children's and Young People's Settings |
| 600/8539/3 | 4333-15 | City & Guilds Level 1 Diploma In Introduction to Health, Social Care and Children's and Young People's Settings |

Appendix B

List of qualifications where adaptation of assessments is permitted

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|------------------------------------|--|
| 600/3741/6 | 0194-21 | City & Guilds Level 2 Certificate in Improving Business Performance |
| 601/6071/8 | 3051-02 | City & Guilds Level 2 Certificate in Clinical Skills |
| 601/6696/4 | 3051-03 | City & Guilds Level 3 Certificate in Clinical Skills |
| 603/2660/8 | 3095-21 | City & Guilds Level 2 Diploma in Care |
| 603/2662/1 | 3095-31 | City & Guilds Level 3 Diploma in Adult Care |
| 501/1206/5 | 3565-22 | City & Guilds Level 2 Certificate in Dementia Care |
| 501/1654/X | 3565-32 | City & Guilds Level 3 Certificate in Dementia Care |
| 600/8667/1 | 3576-05 | City & Guilds Level 5 Diploma For Assistant Practitioners in Healthcare |
| 501/1306/9 | 4222-21 | City & Guilds Level 2 Diploma in Health and Social Care (Adults) for England |



| | | |
|------------|---------|--|
| 501/1194/2 | 4222-31 | City & Guilds Level 3 Diploma in Health & Social Care (Adults) for England |
| 601/5641/7 | 4222-34 | City & Guilds Level 4 Diploma In Adult Care (England) |
| 501/2282/4 | 4223-01 | City & Guilds Level 2 Diploma in Clinical Healthcare Support |
| 501/2124/8 | 4223-02 | City & Guilds Level 2 Certificate in Healthcare Support Services |
| 501/2236/8 | 4223-11 | City & Guilds Level 3 Diploma in Clinical Healthcare Support |
| 501/1785/3 | 4223-14 | City & Guilds Level 3 Diploma in Allied Health Profession Support |
| 501/2253/8 | 4223-15 | City & Guilds Level 3 Diploma in Maternity and Paediatric Support |
| 501/2238/1 | 4223-16 | City & Guilds Level 3 Diploma in Perioperative Support |
| 600/1812/4 | 4239-02 | City & Guilds Level 2 Award In Employment and Personal Learning Skills in Health |
| 600/1854/9 | 4239-03 | City & Guilds Level 3 Award In Employment and Personal Learning Skills in Health |
| 603/2544/6 | 4345-31 | City & Guilds Level 3 Diploma in Healthcare Support |
| 601/4347/2 | 4978-52 | City & Guilds Level 5 Diploma in Leadership for Health and Social Care and Children's and Young People's Services (England) |
| 601/4347/2 | 4978-54 | City & Guilds Level 5 Diploma in Leadership for Health and Social Care and Children and Young People's Services (Adults' Residential Management) (England) |
| 601/4347/2 | 4978-55 | Level 5 Diploma in Leadership for Health and Social Care and Children and Young People's Services (Adults' Management) (England) |
| 601/4347/2 | 4978-56 | Level 5 Diploma in Leadership for Health and Social Care and Children and Young People's Services (Adults' Advanced Practice) (England) |
| 603/3462/9 | 3080-50 | City & Guilds Level 5 Diploma in Leadership and Management for Adult Care (England) |
| 500/9234/0 | 5355-02 | City & Guilds Level 2 NVQ Certificate in Pharmacy Service Skills |



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| 500/9576/6 | 5355-03 | City & Guilds Level 3 NVQ Diploma in Pharmacy Service Skills |
| 500/9959/0 | 5356-03 | City & Guilds Level 2 Diploma in Pharmaceutical Science |

Appendix C

List of qualifications where no mitigation is required

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|------------|------------------------------------|--|
| 501/1146/2 | 3565-21 | City & Guilds Level 2 Award in Awareness of Dementia |
| 501/1654/X | 3565-31 | City & Guilds Level 3 Award in Awareness of Dementia |
| 600/6919/3 | 3571-02 | City & Guilds Level 2 Award In Awareness of End of Life Care |
| 600/6842/5 | 3571-03 | City & Guilds Level 3 Award In Awareness of End of Life Care |
| 600/0077/6 | 4229-02 | City & Guilds Level 2 Certificate In Preparing to Work in Adult Social Care |
| 600/0108/2 | 4229-03 | City & Guilds Level 3 Certificate In Preparing to work in adult social care |
| 501/1723/3 | 4233-20 | City & Guilds Level 2 Award in Employment Responsibilities and Rights in Health, Social Care or Children and Young People's Settings |

Appendix D

List of qualifications where assessments will be delayed

| QAN | City & Guilds qualification number | City & Guilds qualification title |
|-----|------------------------------------|-----------------------------------|
| n/a | n/a | n/a |

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Giltspur House 5-6 Giltspur Street London EC1A 9DE

T +44 (0)20 7294 2468

F +44 (0)20 7294 2400

cityandguilds.com

