6318 Level 3 Awards & Certificate in Learning and Development

September 2011 Version 1.0





Qualification at a glance

Subject area	Learning and Development
City & Guilds number	6318
Age group approved	16-18 and 19+
Entry requirements	None
Assessment	Assignments on knowledge units Assessment of competent performance on applied units
Fast track	Available
Support materials	Centre handbook
Registration/ certification dates	See City & Guilds website for details

Title and level	City & Guilds number	Accreditation number
Level 3 Award in understanding the principles and practices of learning and development	6318-01	600/2559/1
Level 3 Award in facilitating learning and development	6318-02	600/2556/6
Level 3 Certificate in learning and development	6318-03	600/2746/0



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Structure

These qualifications are closely related to the other qualifications in the Learning and Development suite. At level 3 the smaller qualifications fully 'nest' within the larger ones.

Quite a number of units that appear in the Level 3 Award in Facilitating Learning and Development and Level 3 Certificate also occur in the Level 4 Diploma. This assists learners to accumulate credits, transfer them and progress, both horizontally (into larger qualifications at the same level) and vertically (up the QCF levels).

An example of this is where an individual could achieve the Level 3 Award in Understanding the Principles and Practices of Learning and Development. This is a six credit qualification consisting of one unit only. The unit focuses on knowledge and understanding of the whole Learning and Development cycle and other key principles.

This unit, in turn, is 'nested' inside the Level 3 Award in Facilitating Learning and Development. This is a 12 credit qualification that requires the same knowledge and understanding unit and the achievement of a further six credits which cover facilitating learner development in one of two contexts (working with groups of learners or with individual learners).

This qualification, in turn, is nested inside the Level 3 Certificate in Learning and Development. This is a 30 credit qualification which requires the practitioner to demonstrate their competence across several elements of the learning and development cycle. Thus, any practitioner achieving the Level 3 Award in Facilitating Learning and Development only requires a further 18 credits to achieve the full Certificate at Level 3.

This arrangement is intended to enable any practitioner to progress 'horizontally' at Level 3 by accumulating credits and gaining certified recognition of their learning and skills at appropriate points.

Since many of the units that form the Level 3 Certificate are also in the Level 4 Diploma, most practitioners achieving the Level 3 Certificate will already have significant credits within the Level 4 Diploma. This arrangement is intended to enable 'vertical' progression to the next level

Rules of Combination

To achieve the **Level 3 Award in understanding the principles and practices of learning and development**, learners must achieve a total of **6** credits from unit 001.

Level 3 Award in understanding the principles and practices of learning and development			
Unit accreditation number	City & Guilds unit number	Unit title	Credit value
Mandatory			
Y/502/9541	001	Understand the principles and practices of learning and development	6

To achieve the **Level 3 Award in facilitating learning and development**, learners must achieve a minimum of 12 credits, 6 credits from 001 and a minimum of 6 credits from either 002 or 003.

Level 3 Award	in facilitatir	ng learning and develo	pment
Unit accreditation number	City & Guilds unit number	Unit title	Credit value
Mandatory			
Y/502/9541	001	Understand the principles and practices of learning and development	6
Optional			
F/502/9548	800	Facilitate learning and development in groups	6
J/502/9549	009	Facilitate learning and development for individuals	6

To achieve the **Level 3 Certificate in facilitating learning and development**, learners must achieve a minimum of 30 credits. 12 credits from the 2 mandatory units (001 and 012), a minimum of 6 credits from (008, 009) the remaining 12 minimum credits can come from (004, 006-009, 011, 013-016, 019-021).

Level 3 Certif	icate in le	arning and development	
Mandatory	<u> </u>		
Y/502/9541	001	Understand the principles and practices of learning and development	6
J/502/9552	012	Reflect on and improve own practice in learning and development	6
Optional			
K/502/9544	004	Identify individual learning and development needs	3
T/502/9546	006	Plan and prepare specific learning and development opportunities	6
A/502/9547	007	Develop and prepare resources for learning and development	6
F/502/9548	800	Facilitate learning and development in groups	6
J/502/9549	009	Facilitate learning and development for individuals	6
F/502/9551	011	Engage learners in the learning and development process	6
L/502/9553	013	Evaluate and improve learning and development provision	6
D/601/5313	014	Understanding the principles and practices of assessment	3
H/601/5314	015	Assess occupational competence in the work environment	6
F/601/5319	016	Assess vocational skills, knowledge and understanding	6

R/502/9554	019	Provide information and advice to learners and employers	3
Y/502/9555	020	Engage with employers to develop and support learning provision	6
R/600/1764	021	Understanding the employing organisation	3



1 Units

Availability of units

Structure of units

These units each have the following:

- City & Guilds reference number
- unit accreditation number
- title
- level
- credit value
- unit aim
- relationship to NOS, other qualifications and frameworks
- endorsement by a sector or other appropriate body
- information on assessment
- learning outcomes which are comprised of a number of assessment criteria
- notes for guidance.

Unit 001 Understand the principles and practices of learning and development

UAN:	Y/502/9541	
Level:	Level 3	
Credit value:	6	
GLH:	25	
Relationship to NOS:	This unit is linked to The Learning and Skills Improvement Service - LSIS (formerly LLUK) National Occupational Standards, 2010	
Assessment requirements specified by a sector or regulatory body:	All learning outcomes must be assessed using methods appropriate to the assessment of knowledge and understanding.	
	If assessed as a single unit this unit could be assessed by: • written statements or answers • oral statements or answers • discussions between the learner and their assessor • assignment • projects	
Aim:	This unit assesses the knowledge and understanding a learning and development practitioner requires to underpin their practice.	

Learning outcome 1

The learner will:

1. Understand the purpose and context of learning and development

Assessment criteria

- 1.1 Analyse the objectives of learning and development for learners and for organisations
- 1.2 Explain the contexts and environments in which learning and development takes place

The learner will:

2. Understand the learning and development cycle

Assessment criteria

The learner can:

- 2.1 Explain the learning and development cycle and each of its constituent parts
- 2.2 Explain how the learning and development cycle is used to enhance learner experience

Learning outcome 3

The learner will:

3. Understand the needs of learners in relation to learning and development

Assessment criteria

The learner can:

- 3.1 Summarise why it is necessary to understand learner needs and motivations for learning and development
- 3.2 Explain how to adapt learning and development to meet the needs and preferences of learners
- 3.3 Outline the factors that can lead to the improvement and maintenance of learner engagement and motivation

Learning outcome 4

The learner will:

4. Understand the roles and responsibilities of the learning and development practitioner

Assessment criteria

The learner can:

- 4.1 Explain own role and responsibilities in relation to learning and development
- 4.2 Explain the points of referral available to help meet the needs of learners
- 4.3 Explain the practitioner role in the development of learners' in the learning and development process
- 4.4 Explain the practitioner's role in the quality improvement of learning and development
- 4.5 Summarise the practitioner's role in managing risks to and safeguarding learners

Learning outcome 5

The learner will:

5. Understand legislative and organisational requirements in relation to learning and development

Assessment criteria

- 5.1 Explain learner's rights in relation to equality, diversity and inclusion
- 5.2 Explain the practitioner's and the organisation's responsibility for the

safety and security of learners

- $5.3\,\mbox{Summarise}$ the purpose and limits of confidentiality in relation to learners and the organisation
- 5.4 Explain requirements for record keeping in relation to learning and development

Unit 001 Understand the principles and practices of learning and development

Evidence requirements

Unit 004 Identify individual learning and development needs

UAN:	K/502/9544	
Level:	Level 3	
Credit value:	3	
GLH:	24	
Relationship to NOS:	This unit is linked to The Learning and Skills Improvement Service - LSIS (formerly LLUK) National Occupational Standards, 2010	
Assessment requirements specified by a sector or regulatory body:	Learning Outcomes 2 & 3 in this unit require performance evidence of the learner working with real learners in a genuine organisational context.	
	When assessing Learning Outcomes 2 & 3 methods used must include:	
	 Observation of performance in a work environment 	
	Examining products of work	
	Supplementary evidence may be gathered by:	
	Questioning	
	Discussion	
	Use of others (witness testimony)	
	Looking at practitioner statements	
Aim:	The aim of this unit is to assess a learning and development practitioner's understanding of and competence in carrying out a learning or training needs analysis for an individual.	
	'Practitioner' means anyone with a learning and development responsibility as part of their role.	

The learner will:

1. Understand the principles and practices of learning needs analysis for individuals

Assessment criteria

The learner can:

- 1.1 Explain the principles and practices of learning needs analysis for individuals
- 1.2 Analyse the factors that influence individual learning needs, preferences and styles
- 1.3 Compare methods used to assess individual learning needs

Learning outcome 2

The learner will:

2. Be able to conduct learning needs analysis for individuals

Assessment criteria

The learner can:

- 2.1 Agree the purpose, aims and methodology of the learning needs analysis with individuals
- 2.2 Apply learning needs analysis methodology to assess the individual's current level of achievement and potential
- 2.3 Analyse learning needs and communicate to the learner

Learning outcome 3

The learner will:

3. Be able to agree individual learning and development needs

Assessment criteria

- 3.1 Agree and prioritise individual learning and development needs
- 3.2 Advise individuals about learning and development options to meet:
 - learner priorities
 - learning preferences
 - learning styles

Unit 004 Identify individual learning and development needs

Evidence requirements

This unit assesses occupational competence. Evidence for learning outcomes 2 and 3 must come from performance in a work environment. Simulations, projects or assignments are not allowed for these learning outcomes.

Unit 006

Plan and prepare specific learning and development opportunities

UAN:	T/502/9546	
Level:	Level 3	
Credit value:	6	
GLH:	20	
Relationship to NOS:	This unit is linked to The Learning and Skills Improvement Service - LSIS (formerly LLUK) National Occupational Standards, 2010	
Assessment requirements specified by a sector or regulatory body:	This is a competence-based unit which requires performance evidence of the learner working with real learners in a real organisational context.	
	Assessment methods used must include:	
	 Observation of performance in a work environment 	
	Examining products of work	
	Supplementary evidence may be gathered by:	
	Questioning	
	Discussion	
	Use of others (witness testimony)	
	 Looking at practitioner statements 	
Aim:	The aim of this unit is to assess a learning and development practitioner's competence in planning and preparing learning and development - for example formal training sessions, coaching sessions or informal experiences such as periods in the workplace. Preparations include allocating resources and establishing systems and arrangements. 'Delivery' means all forms of formal and informal facilitation of learning. 'Session' means all forms of learning and development event or activity within any context. 'Practitioner' means anyone with a learning and development responsibility as part of their role.	

The learner will:

1. Be able to plan learning and development opportunities

Assessment criteria

The learner can:

- 1.1 Agree the purpose and outcomes of learning and development to meet learner needs
- 1.2 Plan the delivery of specific learning and development opportunities to meet needs
- 1.3 Secure resources required to deliver specific learning and development opportunities
- 1.4 Identify organisational structures, systems and processes supporting learning and development opportunities
- 1.5 Assess risks to learners and their learning and development when planning specific opportunities

Learning outcome 2

The learner will:

2. Be able to prepare specific learning and development opportunities to meet learner needs

Assessment criteria

- 2.1 Prepare learning and development sessions to meet learner needs
- 2.2 Identify resources and technologies required to deliver specific learning and development sessions
- 2.3 Communicate specific learning and development aims, objectives, delivery and assessment arrangements to learners

Unit 006 Plan and prepare specific learning and development opportunities

Evidence requirements

This unit assesses occupational competence. Evidence for all learning outcomes must come from performance in the work environment. Simulations, projects or assignments are not allowed for this unit.

Unit 007 Develop and prepare resources for learning and development

UAN:	A/502/9547	
Level:	Level 4	
Credit value:	6	
GLH:	25	
Relationship to NOS:	This unit is linked to Learning and Development National Occupational Standards Standard 5: Plan and prepare learning and development resources.	
Assessment requirements specified by a sector or regulatory body:	Learning Outcome 2 in this unit requires performance evidence of the learner working with real learners in a real organisational context.	
	When assessing Learning Outcome 2 methods used must include:	
	 Observation of performance in a work environment 	
	Examining products of work	
	Supplementary evidence may be gathered by:	
	•Questioning	
	Discussion	
	Use of others (witness testimony)	
	•Looking at practitioner statements	
Aim:	The aim of this unit is to assess a learning and development practitioner's competence in developing and preparing resources to support learning and development. 'Develop' covers preparing and producing resources 'from scratch' as well as adapting existing resources to meet the needs of learners. 'Resources' also cover the preparation of the learning environment, learning materials and equipment used to support learning. Examples of resources include: technical equipment, IT-based learning, handouts, workbooks and visits to places of interest. 'Practitioner' means anyone with a learning and development responsibility as part of their role.	

The learner will:

1. Understand principles underpinning development and preparation of resources for learning and development

Assessment criteria

The learner can:

- 1.1 Explain principles underpinning resource selection for learning and development
- 1.2 Analyse factors that are important when developing and preparing resources that conform to national legislation and rganizational policies
- 1.3 Evaluate the contribution of technology to the development of learning and development resources

Learning outcome 2

The learner will:

2. Be able to develop resources to meet learning and development needs

Assessment criteria

- 2.1 Agree needs of learners for whom resources are being developed
- 2.2 Prepare resources for the delivery of learning and development ensuring legislative and organisational health, safety, welfare, equality and inclusion requirements are met
- 2.3 Plan adaptations to and use of technology within resources to meet learning and development needs
- 2.4 Prepare guidance to assist those using learning and development resources
- 2.5 Evaluate the suitability of resources for learning and development

Unit 007 Develop and prepare resources for learning and development

Evidence requirements

This unit assesses occupational competence. Evidence for learning outcome 2 must come from performance in the work environment. Simulations, projects or assignments are not allowed for this learning outcome.

Unit 008 Facilitate learning and development in groups

UAN:	F/502/9548
Level:	Level 3
Credit value:	6
GLH:	25
Relationship to NOS:	This unit is linked to Learning and Development National Occupational Standards Standard 6: Manage learning and development in groups.
Assessment requirements specified by a sector or regulatory body:	Learning outcomes 2, 3 & 4 in this unit require performance evidence of the learner working with real learners in a genuine organisational context.
	When assessing Learning Outcomes 2, 3 & 4 assessment methods must include:
	 Observation of performance in a work environment
	Examining products of work
	Supplementary evidence may be gathered by:
	Questioning
	Discussion
	Use of others (witness testimony)
	 Looking at practitioner statements
Aim:	The aim of this unit is to assess a learning and development practitioner understanding of group dynamics and facilitating learning and development in groups. They are required to understand the use of a variety of methods, for example, presentations, instructions, demonstrations, small group activities, skills practice and feedback, elearning, blended learning, role plays, simulations or experiential learning. 'Practitioner' means anyone with a learning and development responsibility as part of their role.

The learner will:

1. Understand the principles and practices of learning and development in groups

Assessment criteria

The learner can:

- 1.1 Explain purposes of group learning and development
- 1.2 Explain why delivery of learning and development must reflect group dynamics
- 1.3 Evaluate methods for facilitating learning and development to meet the needs of groups
- 1.4 Explain how to manage risks and safeguard individuals when facilitating learning and development in groups
- 1.5 Explain how to overcome barriers to learning in groups
- 1.3 Explain how to monitor individual learner progress within group learning and development activities
- 1.7 Explain how to adapt delivery based on feedback from learners in groups

Learning outcome 2

The learner will:

2. Be able to facilitate learning and development in groups

Assessment criteria

The learner can:

- 2.1 Clarify facilitation methods with group members to meet group and individual learning objectives
- 2.2 Implement learning and development activities to meet learning objectives
- 2.3 Manage risks to group and individual learning and development

Learning outcome 3

The learner will:

3. Be able to assist groups to apply new knowledge and skills in practical contexts

Assessment criteria

The learner can:

- 3.1 Develop opportunities for individuals to apply new knowledge and skills in practical contexts
- 3.2 Provide feedback to improve the application of learning

Learning outcome 4

The learner will:

4. Be able to assist learners to reflect on their learning and development undertaken in groups

Assessment criteria

The learner can:

4.1 Support self-evaluation by learners

- 4.2 Review individual responses to learning and development in groups
- 4.3 Assist learners to identify their future learning and development needs

Unit 008 Facilitate learning and development in groups

Evidence requirements

This unit in the main assesses occupational competence. Evidence for learning outcomes 2, 3 and 4 must come from performance in the work environment. Simulations, projects or assignments are not allowed for these learning outcomes.

Unit 009 Facilitate learning and development for individuals

UAN:	J/502/9549
Level:	Level 3
Credit value:	6
GLH:	25
Relationship to NOS:	This unit is linked to Learning and Development National Occupational Standards Standard 7: Facilitate individuals' learning and development
Assessment requirements specified by a sector or regulatory body:	Learning outcomes 2, 3 & 4 in this unit require performance evidence of the learner working with real learners in a genuine organisational context.
	When assessing Learning Outcomes 2, 3 & 4 assessment methods must include:
	 Observation of performance in a work environment
	Examining products of work
	Supplementary evidence may be gathered by:
	•Questioning
	Discussion
	Use of others (witness testimony)
	 Looking at practitioner statements
Aim:	The aim of this unit is to assess a learning and development practitioner understanding of how to facilitate learning and development for individuals. This could be someone in a coaching or mentoring role. They are required to understand the use of a variety of methods. 'Practitioner' means anyone with a learning and development responsibility as part of their role.

The learner will:

1. Understand principles and practices of one to one learning and development

Assessment criteria

The learner can:

- 1.1 Explain purposes of one to one learning and development
- 1.2 Explain factors to be considered when facilitating learning and development to meet individual needs
- 1.3 Evaluate methods for facilitating learning and development to meet the needs of individuals
- 1.4 Explain how to manage risks and safeguard individuals when facilitating one to one learning and development
- 1.5 Explain how to overcome individual barriers to learning
- 1.6 Explain how to monitor individual learner progress
- 1.7 Explain how to adapt delivery to meet individual learner needs

Learning outcome 2

The learner will:

2. Be able to facilitate one to one learning and development

Assessment criteria

The learner can:

- 2.1 Clarify facilitation methods with individuals to meet their learning and/or development objectives
- 2.2 Implement activities to meet learning and/or development objectives
- 2.3 Manage risks and safeguard learners participating in one to one learning and/or development

Learning outcome 3

The learner will:

3. Be able assist individual learners in applying new knowledge and skills in practical contexts

Assessment criteria

The learner can:

- 3.1 Develop opportunities for individuals to apply their new knowledge and learning in practical contexts
- 3.2 Explain benefits to individuals of applying new knowledge and skills

Learning outcome 4

The learner will:

4. Be able to assist individual learners in reflecting on their learning and/or development

Assessment criteria

- 4.1 Explain benefits of self evaluation to individuals
- 4.2 Review individual responses to one to one learning and/or development

4.3 Assist individual learners to identify their future learning and/or development needs

Unit 009 Facilitate learning and development for individuals

Evidence requirements

This unit assesses occupational competence. Evidence for learning outcomes 2, 3 and 4 must come from performance in the work environment. Simulations, projects or assignments are not allowed for these learning outcomes.

Unit 011 Engage learners in the learning and development process

UAN:	F/502/9551
Level:	Level 3
Credit value:	6
GLH:	30
Relationship to NOS:	This unit is linked to Learning and Development National Occupational Standards Standard 8: Engage and support learners in their learning and development
Assessment requirements specified by a sector or regulatory body:	Learning outcomes 3 & 4 in this unit require performance evidence of the learner working with real learners in a genuine organisational context.
	When assessing Learning Outcomes 2, 3 & 4 assessment methods must include:
	 Observation of performance in a work environment
	Examining products of work
	Supplementary evidence may be gathered by:
	Questioning
	Discussion
	Use of others (witness testimony)
	•Looking at practitioner statements
Aim:	The aim of this unit is to assess a learning and development practitioner's competence in assisting learners to become engaged and involved in their own learning and development process. It includes knowledge and understanding of the role of mentoring, but does not include the development of specific mentoring skills. Although it implies assistance and support for the learner, the unit is not about learning support. This also includes working with the learner to review their progress. 'Practitioner' means anyone with a learning and development responsibility as part of their role.

The learner will:

1. Understand principles and purpose of engaging learners in learning and development

Assessment criteria

The learner can:

- 1.1 Explain principles of learner engagement in the learning and development process
- 1.2 Evaluate the processes and activities used to engage learners in learning and development
- 1.3 Explain information and advice learners need for learning and development
- 1.4 Analyse learner motivation for learning and development
- 1.5. Analyse ways to overcome barriers to learning and development faced by learners
- 1.6 Explain methods of engaging learners in their own progress review of learning

Learning outcome 2

The learner will:

2. Understand the role of mentoring in facilitating learning

Assessment criteria

The learner can:

- 2.1 Explain how mentoring can engage and motivate learners
- 2.2 Summarise the role and characteristics of a mentor
- 2.3 Analyse mentoring relationships that engage and motivate learners

Learning outcome 3

The learner will:

3. Be able to assist and engage the learner in the learning and development process

Assessment criteria

The learner can:

- 3.1 Demonstrate working relationships with learners to motivate learning
- 3.2 Provide assistance to learners to encourage them to take responsibility for their own learning and development
- 3.3 Provide learners with the information and advice to engage in learning and development that meets their needs

Learning outcome 4

The learner will:

4. Be able to assist the learner in reviewing their own progress

Assessment criteria

- 4.1 Establish opportunities to review progress with learners
- 4.2 Provide learners with constructive feedback on their learning and development

- 4.3 Enable learners to give feedback on their learning experience
- 4.4 Analyse progress and achievement with learners
- 4.5 Assist learners to in adapting learning and development plans to reflect future learning needs

Unit 011 Engage learners in the learning and development process

Evidence requirements

This unit assesses occupational competence. Evidence for learning outcomes 3 and 4 must come from performance in the work environment. Simulations, projects or assignments are not allowed for these learning outcomes.

Unit 012 Reflect on and improve own practice in learning and development

1/502/0552

UAN:	J/502/9552
Level:	Level 4
Credit value:	6
GLH:	25
Relationship to NOS:	This unit is linked to Learning and Development National Occupational Standards Standard 10: Reflect on, develop and improve own skills and practice in learning and development
Assessment requirements specified by a sector or regulatory body:	Learning outcomes 2 & 3in this unit require performance evidence of the learner reflecting on their own practice. When assessing Learning Outcomes 2 & 3 assessment methods must include:
	 Examining products of work Questioning Discussion Use of others (witness testimony) Looking at practitioner statements
Aim:	The aim of this unit is to assess the competence of a practitioner to reflect on, evaluate and improve their learning and development practice.

Learning outcome 1

The learner will:

IIANI-

1. Understand approaches to and processes associated with reflective practice and continuing professional development

Assessment criteria

- 1.1 Evaluate approaches to reflective practice
- 1.2 Critically evaluate why learning and development practitioners must engage in reflective practice and continuing professional development
- 1.3 Analyse own values, beliefs and attitudes and their impact on practice

The learner will:

2. Be able to reflect on own performance as a learning and development practitioner

Assessment criteria

The learner can:

- 2.1 Analyse own skills, knowledge and practice as a learning and development practitioner
- 2.2 Assess how own practice promotes inclusion, equality and diversity
- 2.3 Evaluate where own skills, knowledge and practice need updating or further development

Learning outcome 3

The learner will:

3. Be able to improve own learning and development practice

Assessment criteria

- 3.1 Prioritise areas for own development as a practitioner
- 3.2 Review and update personal action plans to improve practice based on outcomes of reflection
- 3.3 Identify learning and development opportunities to address priority actions in accordance with current legislative and organisational requirements
- 3.4 Use technologies and resources to keep own knowledge, understanding, skills and practice up to date
- 3.5 Review outcomes of development activities on own practice

Unit 012 Reflect on and improve own practice in learning and development

Evidence requirements

This unit assesses occupational competence. Evidence for learning outcomes 2 and 3 must come from performance in the work environment. Simulations, projects or assignments are not allowed for these learning outcomes.

There must be valid authentic and sufficient evidence for all the assessment criteria. However one piece of evidence may be used to meet the requirements of more than one learning outcome or assessment criterion

Unit 013 Evaluate and improve learning and development provision

UAN:	L/502/9553		
Level:	Level 4		
Credit value:	6		
GLH:	25		
Relationship to NOS:	This unit is linked to Learning and Development National Occupational Standards Standard 13: Evaluate and improve learning and development provision.		
Assessment requirements specified by a sector or regulatory body:	Learning outcomes 3 & 4 in this unit require performance evidence of the learner working with real learners in a real organisational context. When assessing Learning Outcomes 3 & 4 assessment methods must include:		
	 Examining products of work Questioning Discussion Use of others (witness testimony) Looking at practitioner statements 		
Aim:	The aim of this unit is to assess a competence of the learning and development practitioner to evaluate and improve the learning and development for which they are responsible in an ongoing basis.		

Learning outcome 1

The learner will:

1. Understand contexts for evaluation and quality improvement of learning and development

Assessment criteria

- 1.1 Analyse the principles of quality improvement in learning and development provision
- 1.2 Explain current legislative and rganizational quality improvement requirements for learning and development provision
- $1.3 \ Evaluate \ industry-recognised \ standards \ for \ learning \ and \ development$
- 1.4 Discuss methods to evaluate learning and development provision
- 1.5 Analyse processes to raise standards in own area of learning and development practice
- 1.6 Explain the role of learner involvement in evaluating and improving

The learner will:

2. Understand evaluation of learning and development

Assessment criteria

The learner can:

- 2.1 Evaluate the scope of evaluation for own area of learning and development
- 2.2 Identify performance indicators that apply to own area of learning and development
- 2.3 Discuss information and other evidence requirements including strengths and weaknesses of information available to evaluate learning and development

Learning outcome 3

The learner will:

3. Be able to evaluate learning and development in accordance with organizational requirements

Assessment criteria

The learner can:

- 3.1 Follow organizational procedures for recording and reporting evaluation outcomes
- 3.2 Analyse evidence of learning and development against organizational requirements
- 3.3 Analyse own contribution and that of colleagues to the evaluation of learning and development
- 3.4 Recommend quality improvements for learning and development

Learning outcome 4

The learner will:

4. Be able to improve learning and development ensuring regulatory and organisational requirements are met

Assessment criteria

- 4.1 Prioritise and plan improvements to provision based on evaluation of learning and development
- 4.2 Identify ways to monitor the impact of planned improvements to learning and development on an ongoing basis

Unit 013 Evaluate and improve learning and development provision

Evidence requirements

This unit assesses occupational competence. Evidence for learning outcomes 3 and 4 must come from performance in the work environment. Simulations, projects or assignments are not allowed for these learning outcomes.

There must be valid authentic and sufficient evidence for all the assessment criteria. However one piece of evidence may be used to meet the requirements of more than one learning outcome or assessment criterion

Unit 014 Understanding the principles and practices of assessment

UAN:	D/601/5313		
Level:	Level 3		
Credit value:	3		
GLH:	24		
Relationship to NOS:	This unit is linked to Learning and Development National Occupational Standards Standard 9: Assess learner achievement		
Assessment requirements specified by a sector or regulatory body:	All learning outcomes must be assessed using methods appropriate to the assessment of knowledge and understanding.		
	If assessed as a single unit this unit could be assessed by: • written statements or answers • oral statements or answers • discussions between the learner and their assessor • assignments • projects ASSESSMENT REQUIREMENTS FOR THIS UNIT ARE DESCRIBED IN DETAIL IN THE 6317 QUALIFICATION HANDBOOK – THESE REQUIREMENTS MUST BE MET.		
Aim:	The aim of this unit is to assess a learning and development practitioner's knowledge and understanding of the principles and practices of assessment. 'Practitioner' means anyone with a learning and development responsibility as the whole or a part of their role.		

Learning outcome 1

The learner will:

1. Understand the principles and requirements of assessment

Assessment criteria

- 1.1 Explain the function of assessment in learning and development
- 1.2 Define the key concepts and principles of assessment
- 1.3 Explain the responsibilities of the assessor
- 1.4 Identify the regulations and requirements relevant to the assessment in own area of practice

The learner will:

2. Understand different types of assessment method

Assessment criteria

The learner can:

2.1 Compare the strengths and limitations of a range of assessment methods with reference to the needs of individual learners

Learning outcome 3

The learner will:

3. Understand how to plan assessment

Assessment criteria

The learner can:

- 3.1 Summarise key factors to consider when planning assessment
- 3.2 Evaluate the benefits of using a holistic approach to assessment
- 3.3 Explain how to plan a holistic approach to assessment
- 3.4 Summarise the types of risks that may be involved in assessment in own area of responsibility
- 3.5 Explain how to inimize risks through the planning process

Learning outcome 4

The learner will:

4. Understand how to involve learners and others in assessment

Assessment criteria

The learner can:

- 4.1 Explain the importance of involving the learner and others in the assessment process
- 4.2 Summarise types of information that should be made available to learners and others involved in the assessment process
- 4.3 Explain how peer and self-assessment can be used effectively to promote learner involvement and personal responsibility in the assessment of learning
- 4.4 Explain how assessment arrangements can be adapted to meet the needs of individual learners

Learning outcome 5

The learner will:

5. Understand how to make assessment decisions

Assessment criteria

- 5.1 Explain how to judge whether evidence is:
 - sufficient
 - authentic
 - current
- 5.2 Explain how to ensure that assessment decisions are:
 - made against specified criteria

- valid
- reliable
- fair

The learner will:

6. Understand quality assurance of the assessment process

Assessment criteria

The learner can:

- 6.1 Evaluate the importance of quality assurance in the assessment process
- 6.2 Summarise quality assurance and standardisation procedures in own area of practice
- 6.3 Summarise the procedures to follow when there are disputes concerning assessment in own area of practice

Learning outcome 7

The learner will:

7. Understand how to manage information relating to assessment

Assessment criteria

The learner can:

- 7.1 Explain the importance of following procedures for the management of information relating to assessment
- 7.2 Explain how feedback and questioning contribute to the assessment process

Learning outcome 8

The learner will:

8. Understand the legal and good practice requirements in relation to assessment

Assessment criteria

- 8.1 Explain legal issues, policies and procedures relevant to assessment, including those for confidentiality, health, safety and welfare
- 8.2 Explain the contribution that technology can make to the assessment process
- 8.3 Evaluate requirements for equality and diversity and, where appropriate, bilingualism in relation to assessment
- $8.4\,\mathrm{Explain}$ the value of reflective practice and continuing professional development in the assessment process

Unit 014 Understanding the principles and practices of assessment

Evidence requirements

The specific requirements for delivering this unit are in the 6317 Qualification Handbook – Unit 301 pages 25-39. These requirements must be met. The 6317 handbook can be found on www.cityandguilds.com/taqa

There must be valid authentic and sufficient evidence for all the assessment criteria. However one piece of evidence may be used to meet the requirements of more than one learning outcome or assessment criterion

Unit 015 Assess occupational competence in the work environment

UAN:	H/601/5314	
Level:	Level 3	
Credit value:	6	
GLH:	39	
Relationship to NOS:	This unit is linked to Learning and Development National Occupational Standards Standard 9: Assess learner achievement	
Assessment requirements specified by a sector or regulatory body:	This is a competence-based unit which requires performance evidence of the learner working with real learners in a genuine organisational context.	
	Assessment methods must include:	
	 Observation of performance in a work environment 	
	Examining products of work	
	Supplementary evidence may be gathered by:	
	Questioning	
	Discussion	
	Use of others (witness testimony)	
	 Looking at practitioner statements 	
	ASSESSMENT REQUIREMENTS FOR THIS UNIT ARE DESCRIBED IN DETAIL IN THE 6317 QUALIFICATION HANDBOOK – THESE REQUIREMENTS MUST BE MET.	
Aim:	The aim of this unit is to assess a learning and development practitioner's performance in carrying out assessments of occupational competence in a work environment.	
	'Practitioner' means anyone with a learning and development responsibility as the whole or a part of their role.	

The learner will:

1. Be able to plan the assessment of occupational competence

Assessment criteria

The learner can:

- 1.1 Plan assessment of occupational competence based on the following methods:
 - observation of performance in the work environment
 - examining products of work
 - questioning the learner
 - discussing with the learner
 - use of others (witness testimony)
 - looking at learner statements
 - recognising prior learning
- 1.2 Communicate the purpose, requirements and processes of assessing occupational competence to the learner
- 1.3 Plan the assessment of occupational competence to address learner needs and current achievements
- 1.4 Identify opportunities for holistic assessment

Learning outcome 2

The learner will:

2. Be able to make assessment decisions about occupational competence

Assessment criteria

The learner can:

- 2.1 Use valid, fair and reliable assessment methods including:
 - observation of performance
 - examining products of work
 - questioning the learner
 - discussing with the learner
 - use of others (witness testimony)
 - looking at learner statements
 - tandardiza prior learning
- 2.2 Make assessment decisions of occupational competence against specified criteria
- 2.3 Follow tandardization procedures
- 2.4 Provide feedback to learners that affirms achievement and identifies any further implications for learning, assessment and progression

Learning outcome 3

The learner will:

3. Be able to provide required information following the assessment of occupational competence

Assessment criteria

- 3.1 Maintain records of the assessment of occupational competence, its outcomes and learner progress
- 3.2 Make assessment information available to authorised colleagues
- 3.3 Follow procedures to maintain the confidentiality of assessment information

The learner will:

4. Be able to maintain legal and good practice requirements when assessing occupational competence

Assessment criteria

- 4.1 Follow relevant policies, procedures and legislation for the assessment of occupational competence, including those for health, safety and welfare
- 4.2 Apply requirements for equality and diversity and, where appropriate, bilingualism, when assessing occupational competence
- 4.4 Evaluate own work in carrying out assessments of occupational competence
- 4.5 Maintain the currency of own expertise and competence as relevant to own role in assessing occupational competence

Unit 015 Assess occupational competence in the work environment

Evidence requirements

Evidence for all learning outcomes must come from carrying out assessments in a work environment. Simulations, projects or assignments are not allowed for this unit.

The specific requirements for delivering this unit are in the 6317 Qualification Handbook – Unit 302 pages 40-47. These requirements must be met. The 6317 handbook can be found on www.cityandguilds.com/taqa

Unit 016 Assess vocational skills, knowledge and understanding

UAN:	F/601/5319
Level:	Level 3
Credit value:	6
GLH:	30
Relationship to NOS:	This unit is linked to Learning and Development National Occupational Standards Standard 9: Assess learner achievement
Assessment requirements specified by a sector or regulatory body:	This is a competence-based unit which requires performance evidence of the learner working with real learners in a real organisational context.
	Primary assessment methods must include: •Observation of performance in a work environment •Examining products of work
	Supplementary evidence may be gathered by:
	Questioning
	Discussion
	Use of others (witness testimony)
	Looking at practitioner statements
	ASSESSMENT REQUIREMENTS FOR THIS UNIT ARE DESCRIBED IN DETAIL IN THE 6317 QUALIFICATION HANDBOOK – THESE REQUIREMENTS MUST BE MET.
Aim:	The aim of this unit is to assess a learning and development practitioner's performance in carrying out the assessment of vocational skills, knowledge and understanding.
	The unit does not require the design of assessments.
	'Practitioner' means anyone with a learning and development responsibility as the whole or a part of their role.

The learner will:

1. Be able to prepare assessments of vocational skills, knowledge and understanding

Assessment criteria

The learner can:

- 1.1 Select methods to assess vocational skills, knowledge and understanding which address learner needs and meet assessment requirements, including:
 - assessments of the learner in simulated environments
 - skills tests
 - oral and written questions
 - assignments
 - projects
 - case studies
 - recognising prior learning
- 1.2 Prepare resources and conditions for the assessment of vocational skills, knowledge and understanding
- 1.3 Communicate the purpose, requirements and processes of assessment of vocational skills, knowledge and understanding to learners

Learning outcome 2

The learner will:

2. Be able to carry out assessments of vocational skills, knowledge and understanding

Assessment criteria

The learner can:

- 2.1 Manage assessments of vocational skills, knowledge and understanding to meet assessment requirements
- 2.2 Provide support to learners within agreed limitations
- 2.3 Analyse evidence of learner achievement
- 2.4 Make assessment decisions relating to vocational skills, knowledge and understanding against specified criteria
- 2.5 Follow standardisation procedures
- 2.6 Provide feedback to the learner that affirms achievement and identifies any further implications for learning, assessment and progression

Learning outcome 3

The learner will:

3. Be able to provide required information following the assessment of vocational skills, knowledge and understanding

Assessment criteria

The learner can:

3.1 Maintain records of the assessment of vocational skills, knowledge and understanding, its outcomes and learner progress

- 3.2 Make assessment information available to authorised colleagues as required
- 3.3 Follow procedures to maintain the confidentiality of assessment information

The learner will:

4. Be able to maintain legal and good practice requirements when assessing vocational skills, knowledge and understanding

Assessment criteria

- 4.1 Follow relevant policies, procedures and legislation relating to the assessment of vocational skills, knowledge and understanding, including those for health, safety and welfare
- 4.2 Apply requirements for equality and diversity and, where appropriate, bilingualism
- 4.3 Evaluate own work in carrying out assessments of vocational skills, knowledge and understanding
- 4.4 Take part in continuing professional development to ensure current expertise and competence in assessing vocational skills, knowledge and understanding

Unit 016 Assess vocational skills, knowledge and understanding

Evidence requirements

Evidence for all learning outcomes must come from carrying out assessments with real learners. Simulations, projects or assignments are not allowed for this unit.

The specific requirements for delivering this unit are in the 6317 Qualification Handbook – Unit 303 pages 48-56. These requirements must be met. The 6317 handbook can be found on www.cityandguilds.com/taqa

Unit 019 Provide information and advice to learners and employers

UAN:	R/502/9554			
Level:	Level 3			
Credit value:	3			
GLH:	20			
Relationship to NOS:	This unit is linked to Learning and Development National Occupational Standards Standard 6: Advise on learning and progression			
Assessment requirements specified by a sector or regulatory body:	Learning outcomes 3 & 4 in this unit require performance evidence of the learner working with real learners in a genuine organisational context.			
	When assessing Learning Outcomes 3 & 4 assessment methods must include:			
	 Observation of performance in a work environment 			
	 Examining products of work 			
	Supplementary evidence may be gathered by:			
	 Questioning 			
	 Discussion 			
	 Use of others (witness testimony) 			
	 Looking at practitioner statements 			
Aim:	The aim of this unit is to assess the competence of a learning and development practitioner in providing information and advice to learners and employers. Information and advice could cover: learning and development opportunities, assessment and qualifications, careers and progression routes, funding sources or professional support.			
	'Practitioner' means anyone with a learning and development responsibility as part of their role.			

The learner will:

1. Understand information and advice available for learners and employers

Assessment criteria

The learner can:

- 1.1 Analyse information and advice needs of
 - individual learners
 - employers
- 1.5 Evaluate sources of information and advice available to meet the needs of
 - individual learners
 - employers

Learning outcome 2

The learner will:

2. Understand own boundaries and limitations in relation to providing information and advice

Assessment criteria

The learner can:

- 2.1 Explain own boundaries for the provision of information and advice to
 - individual learners
 - employers
- 2.2 Explain how to access information and advice from within and outside the rganization when needs go beyond own boundaries for
 - individual learners
 - employers
- 2.3 Evaluate the impact of providing incorrect or misleading information and advice to
 - individual learners
 - employers

Learning outcome 3

The learner will:

3. Be able to provide information and advice to learners and employers

Assessment criteria

- 3.1 Identify information and advice needs of
 - individual learners
 - employers
- 3.2 Provide information and advice to
 - individual learners
 - employers

The learner will:

4. Be able to assist learners and employers to access information and advice

Assessment criteria

- 4.1 Identify sources of information and advice to meet the needs of
 - individual learners
 - employer needs
- 4.2 Identify barriers to accessing information and advice for
 - individual learners
 - employers
- 4.3 Assist with overcoming barriers to accessing information and advice

Unit 019 Provide information and advice to learners and employers

Evidence requirements

This unit assesses occupational competence. Evidence for learning outcomes 3 & 4 must come from performance in the work environment. Simulations, projects or assignments are not allowed for these learning outcomes.

There must be valid authentic and sufficient evidence for all the assessment criteria. However one piece of evidence may be used to meet the requirements of more than one learning outcome or assessment criterion

Unit 020

Engage with employers to develop and support learning provision

UAN:	Y/502/9555			
Level:	Level 3			
Credit value:	6			
GLH:	25			
Relationship to NOS:	This unit is linked to LLUK Engaging Employers National Occupational Standards 2010, Standard 1			
Assessment requirements specified by a sector or regulatory body:	Learning outcomes 3 & 4 in this unit require performance evidence of the learner working with real learners in a genuine organisational context.			
	When assessing Learning Outcomes 3 & 4 assessment methods must include:			
	Observation of performance in a work environment			
	 Examining products of work 			
	Supplementary evidence may be gathered by:			
	Questioning			
	• Discussion			
	 Use of others (witness testimony) 			
	Looking at practitioner statements			
Aim:	The aim of this unit is to assess a learning and development practitioner's competence in working with employers to provide learning and development opportunities for learners. Opportunities might include, for example: work experience placements, apprenticeship programmes, mentoring. 'Practitioner' means anyone with a learning and development responsibility as part of their role.			

The learner will:

1. Understand information relating to employers developing provision for learners

Assessment criteria

The learner can:

- 1.1 Analyse information sources about individual employers and employment sectors, locally and nationally
- 1.2 Summarise learning provision available to an employer
- 1.3 Summarise legal requirements that apply to employers developing and supporting provision for learners

Learning outcome 2

The learner will:

2. Understand how to engage with employers for the benefit of learners

Assessment criteria

The learner can:

- 2.1 Explain how to prepare for first contact with employers to discuss learning provision
- 2. Evaluate employers' level of interest in providing learning opportunities for learners
- 2.3 Evaluate strategies that help employers overcome concerns about offering learning opportunities
- 2.4 Explain why employers might need support to provide learning for learners
- $2.5\,\mbox{Explain}$ the importance of clear channels of communication with employers as delivery partners

Learning outcome 3

The learner will:

3. Be able to engage with employers for the benefit of learners

Assessment criteria

- 3.1 Provide employers with clear information and advice about learning requirements for learners $\,$
- 3.2 Provide advice and assistance to employers delivering learning opportunities
- 3.3 Establish channels of communication for feedback from employers on the progress of learners

The learner will:

4. Be able to evaluate the effect of employer provision on the learner and partner organisation

Assessment criteria

- 4.1 Assess the impact of employer provision on learners' learning outcomes
- 4.2 Review the impact of employer provision on partner organisations

Unit 020 Engage with employers to develop and support learning provision

Evidence requirements

This unit assesses understanding and occupational competence. Evidence for learning outcomes 3 & 4 must come from performance in the work environment. Simulations, projects or assignments are not allowed for these learning outcomes.

There must be valid authentic and sufficient evidence for all the assessment criteria. However one piece of evidence may be used to meet the requirements of more than one learning outcome or assessment criterion

Unit 021 Understanding the employing organisation

UAN:	R/600/1764				
Level:	Level 3				
Credit value:	3				
GLH:	23				
Relationship to NOS:					
Assessment requirements specified by a sector or regulatory body:	All learning outcomes must be assessed using methods appropriate to the assessment of knowledge and understanding.				
	If assessed as a single unit this unit could be assessed by:				
	 written statements or answers 				
	 oral statements or answers 				
	 discussions between the learner and their assessor 				
	assignments				
	• projects				
Aim:	This unit covers the knowledge and understanding that employees require concerning:				
	 the aims, objectives and structure of their organisation the contribution they can make to the organisation's objectives opportunities for professional and career development in the organisation 				

Learning outcome 1

The learner will:

1. Understand the structure of their organisation

Assessment criteria

- 1.1 Describe the main functions in their organisation
- 1.2 Describe how the main functions in their organisation are staffed and organisation
- 1.3 Describe the communication channels in their rganization
- 1.4 Describe the lines of control and accountability in their organisation

The learner will:

2. Understand the key aims and objectives of their organisation

Assessment criteria

The learner can:

- 2.1 Explain the importance of an organisation having a business plan
- 2.2 Describe their organisation's key aims and objectives (for example, mission, core aims and values)
- 2.3 Describe how their organisation measures the achievement of key aims and objectives
- 2.4 Identify their rganization's key performance indicators

Learning outcome 3

The learner will:

3. Understand their own contribution to their rganization's key aims and objectives

Assessment criteria

The learner can:

- 3.1 Evaluate the importance of an rganization managing the performance of its staff
- 3.2 Describe the objectives of their job role
- 3.3 Explain how the objectives of their job role contribute to the rganization's key aims and objectives
- 3.4 Explain how their own performance is evaluated and developed
- 3.5 Analyse the contribution they can make to the evaluation and development of their performance

Learning outcome 4

The learner will:

4. Understand the opportunities for entry, professional development and progression within their organisation

Assessment criteria

- 4.1 Explain the importance of continuing professional development
- 4.2 Evaluate the organisation's processes for recruitment
- 4.3 Evaluate the organisation's processes for induction
- 4.4 Evaluate the organisation's processes for training and development
- $4.5\,$ Evaluate the opportunities and requirements for career progression for staff within their organisation

Unit 021 Understanding the employing organisation

Evidence requirements

There must be valid authentic and sufficient evidence for all the assessment criteria. However one piece of evidence may be used to meet the requirements of more than one learning outcome or assessment criterion



Appendix 1 Relationships to other qualifications

Links to other qualifications

These qualifications have links to the following:

6317 TAQA assessor qualifications – the assessor units (301, 302 & 303) are optional units (014, 015 & 016) in the Level 3 Certificate in Learning and Development.

Some units of the Level 3 Learning and Development qualifications appear as optional units in the Level 3 Preparing to Teach in the Lifelong Learning Sector (PTLLS 7303) qualification. The units that feature in both qualifications are:

- Unit 008 Facilitate learning and development in groups
- Unit 009 Facilitate learning and development for individuals
- Unit 014 Understanding the principles and practices of assessment

However it is important to note that only a proportion of the credit achieved in the Learning & Development units can be claimed in the PTLLS award. Please see the 7303 PTLLS webpage for further guidance.

Centres are responsible for checking the different requirements of all qualifications they are delivering and ensuring that learners meet requirements of all units/qualifications.

Literacy, language, numeracy and ICT skills development

These qualifications can develop skills that can be used in the following qualifications:

- Functional Skills (England) see www.cityandguilds.com/functionalskills
- Essential Skills (Northern Ireland) see
 www.cityandguilds.com/essentialskillsni
- Essential Skills Wales www.cityandguilds.com/esw



Appendix 2 Sources of general information

The following documents contain essential information for centres delivering City & Guilds qualifications. They should be referred to in conjunction with this handbook. To download the documents and to find other useful documents, go to the **Centres and Training Providers homepage** on **www.cityandguilds.com**.

Centre Manual - Supporting Customer Excellence contains detailed information about the processes which must be followed and requirements which must be met for a centre to achieve 'approved centre' status, or to offer a particular qualification, as well as updates and good practice exemplars for City & Guilds assessment and policy issues. Specifically, the document includes sections on:

- The centre and qualification approval process
- Assessment, internal quality assurance and examination roles at the centre
- Registration and certification of learners
- Non-compliance
- Complaints and appeals
- Equal opportunities
- Data protection
- Management systems
- Maintaining records
- Assessment
- Internal quality assurance
- External quality assurance.

Access to Assessment & Qualifications provides full details of the arrangements that may be made to facilitate access to assessments and qualifications for learners who are eligible for adjustments in assessment.

The **centre homepage** section of the City & Guilds website also contains useful information such on such things as:

- Walled Garden: how to register and certificate learners on line
- Qualifications and Credit Framework (QCF): general guidance about the QCF and how qualifications will change, as well as information on the IT systems needed and FAQs
- **Events**: dates and information on the latest Centre events
- **Online assessment**: how to register for GOLA/e-volve assessments.

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Useful contacts

UK learners General qualification information	T: +44 (0)844 543 0033 E: learnersupport@cityandguilds.com
International learners General qualification information	T: +44 (0)844 543 0033 F: +44 (0)20 7294 2413 E: intcg@cityandguilds.com
Centres Exam entries, Certificates, Registrations/enrolment, Invoices, Missing or late exam materials, Nominal roll reports, Results	T: +44 (0)844 543 0000 F: +44 (0)20 7294 2413 E: centresupport@cityandguilds.com
Single subject qualifications Exam entries, Results, Certification, Missing or late exam materials, Incorrect exam papers, Forms request (BB, results entry), Exam date and time change	T: +44 (0)844 543 0000 F: +44 (0)20 7294 2413 F: +44 (0)20 7294 2404 (BB forms) E: singlesubjects@cityandguilds.com
International awards Results, Entries, Enrolments, Invoices, Missing or late exam materials, Nominal roll reports	T: +44 (0)844 543 0000 F: +44 (0)20 7294 2413 E: intops@cityandguilds.com
Walled Garden Re-issue of password or username, Technical problems, Entries, Results, GOLA/e-volve, Navigation, User/menu option, Problems	T: +44 (0)844 543 0000 F: +44 (0)20 7294 2413 E: walledgarden@cityandguilds.com
Employer Employer solutions, Mapping, Accreditation, Development Skills, Consultancy	T: +44 (0)121 503 8993 E: business@cityandguilds.com
Publications Logbooks, Centre documents, Forms, Free literature	T: +44 (0)844 543 0000 F: +44 (0)20 7294 2413

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